

Ghosts of the deep – biodiversity, fisheries, and extinction risk of ghost sharks

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Running title: Global review of ghost sharks

1 **Abstract**

2

3 Ghost sharks (subclass Holocephali) remain a largely data-poor group of cartilaginous fishes. The general
4 paucity of attention may partially be related to identification and unresolved taxonomic issues, occurrence
5 in the deep oceans, and their low value and interest in fisheries (which some notable exceptions). Here,
6 we review and assess the extinction risk of all known extant ghost sharks (52 species) by applying the
7 IUCN Red List of Threatened Species Categories and Criteria. Ghost sharks have a low proportion of
8 threatened (8%) and Near Threatened (8%) species, with most species (69%) assessed as Least Concern.
9 The group still exhibits some data deficiency (15%) and biological information is lacking for most
10 species. Endemism is high, with 37% of species known from only one location or one country. Species
11 richness was highest in the Northeast Atlantic, off the northwest coast of Africa (Morocco to Mauritania),
12 the East China Sea, New Zealand, and off the northwest coast of South America (Ecuador and Peru).
13 Ghost sharks are predominately taken as bycatch, but some targeted fishing and/or retention for the liver
14 oil trade occurs. Species-specific reporting, monitoring, and management is required to assess population
15 trends, and further investigation is needed on trade and use, particularly for higher risk species including
16 the sicklefin chimaeras (genus *Neoharriotta*) and the American Elephantfish (*Callorhynchus*
17 *callorhynchus*).

18

19 **1 Introduction**

20

21 There is an increasing urgency to better understand and monitor anthropogenic impacts on the marine
22 environment and marine species as reliance on marine resources continues to grow and species status
23 worsens (Visbeck, 2018). Chondrichthyans (sharks, rays, and ghost sharks) are no exception, and there is
24 an ever-growing body of scientific literature and public interest dedicated to understanding, conserving,
25 and managing this class of fishes (Simpfendorfer et al., 2011). In recent decades, interest in
26 chondrichthyans and concern for their plight and the paucity of conservation has resulted in the
27 implementation of some regional and global trade and fisheries management, and conservation measures
28 (Friedrich et al., 2014). However, of the ~1,250 cartilaginous species (Fricke, Eschmeyer, & Fong, 2020),
29 research efforts have largely focused on a select number of larger and more charismatic shark and ray
30 species (Shiffman et al., 2020). This lack of attention is especially true for species that live in the deep
31 ocean, where most species are data poor (Kyne & Simpfendorfer, 2007).

32 One oft-overlooked group is the ghost sharks (subclass Holocephali) that, together with the
33 Elasmobranchii (sharks and rays), comprise the class Chondrichthyes – one of the three taxonomic classes
34 of fishes. Ghost sharks are the oldest radiation of fishes and vertebrates, with a median evolutionary
35 distinctiveness of 40 million years (compared to an average of 26 million years across all
36 chondrichthyans) (Stein et al., 2018). They are referred to by a range of common names, including ghost
37 shark, chimaera, rabbitfish, ratfish, or spookfish. Ghost sharks comprise three families, distinguished by
38 their snout morphology: Callorhynchidae (plow-nosed chimaeroids), Chimaeridae (short-nosed
39 chimaeroids), and Rhinochimaeridae (long-nosed chimaeroids) (Figure 1). Ghost sharks are globally
40 distributed with the exception of the highest latitudes. Despite their widespread global occurrence, many
41 have surprisingly restricted geographic ranges and the lineage has a high degree of endemism (Didier et
42 al., 2012). Ghost sharks are mostly deepwater species apart from members of the family Callorhynchidae,
43 which are found along the coastal waters of southern Africa, South America, and Australia and New

44 Zealand (hereafter called Australasia) (Kyne & Simpfendorfer, 2007). They inhabit depths down to 3,000
45 m and hence are among the deepest recorded chondrichthyans (Priede & Froese, 2013). Almost half (23
46 of 52) of ghost shark species have been described since 2002 and these have been mostly from the Indo-
47 Pacific Oceans (Last & Stevens, 2009; White & Kyne, 2010; Didier et al., 2012). Additional species
48 continue to be described due to renewed interest, continued exploration of the deep ocean, and increased
49 taxonomic resolution (e.g. Clerkin et al., 2017).

50 The deep-dwelling and offshore distributions of ghost sharks have meant they have not been readily
51 accessible to fisheries to the same extent as shelf-dwelling sharks and rays. Ghost sharks were estimated
52 to make up <1% of the total global chondrichthyan catch between 1950 and 2009 (Dulvy et al., 2014).
53 Nevertheless, ghost sharks are increasingly captured, as both targeted catch and bycatch, in many coastal
54 and deepwater fisheries (e.g. White et al., 2009; da Silva et al., 2015; Jabado et al., 2017). They are
55 increasingly retained and processed for their flesh, liver oil, and fishmeal and very low quantities of ghost
56 shark fins are also present in the fin trade (Fields et al., 2018). The species in this trade identified by
57 genetic analyses are species with active fisheries management, suggesting these fins are most likely a
58 byproduct of retention for meat/flesh and liver oil.

59 The potential for overfishing is considerable in some cases. Ghost sharks can contribute to a
60 considerable proportion of unintentional catch of deepwater and coastal fisheries. For example, Rabbitfish
61 (*Chimaera monstrosa*, Chimaeridae) accounted for up to 15% of discards in deepwater trawls off Ireland
62 (Calis et al., 2005), and the White-spotted Chimaera (*Hydrolagus colliei*, Chimaeridae) was reported in
63 70% of inshore tows in the groundfish fishery of the U.S. west coast between 2002 and 2009 (Heery &
64 Cope, 2014). Ghost sharks are caught in artisanal, industrial, and recreational demersal gears including
65 longlines, trawls, trammel nets, and gillnets (e.g. Braccini et al., 2009, White et al., 2009; ICES-WGEF,
66 2018). Three ghost shark fisheries, the Australian and New Zealand Elephantfish (*Callorhynchus milii*,
67 Callorhinidae) and the New Zealand Pale Ghostshark (*Hydrolagus bemisi* Chimaeridae), have been
68 recognized globally as some of the more sustainable and well-managed shark fisheries (Simpfendorfer &
69 Dulvy, 2017). For most species however, catches are discarded, not reported, or reported under a generic

70 fisheries code (e.g. *Hydrolagus* spp.) (Bustamante, 1997; ICES-WGEF, 2018). Consequently, this lack of
71 catch reporting reduces our ability to assess population trends at the species level and implement
72 management actions where required. A lack of species-specific catch reporting data can mask declines
73 and even local extinctions as has been well-characterized in skates (family Rajidae) of the North Atlantic
74 (Dulvy et al., 2000).

75 Compared to many other at-risk chondrichthyan lineages, ghost sharks have been considered among
76 the least threatened species group but also the most data deficient (~60% of ghost sharks assessed; Dulvy
77 et al., 2014). This high level of data deficiency raises the concern that species may be at some risk of local
78 overfishing and global extinction, and this risk is going unnoticed, unmonitored, and unmanaged. Here,
79 we assess the threat status based on the combination of distribution, habitat and ecology, population
80 trends, threats, and use and trade of all ghost sharks. We present the first Red List reassessment for an
81 entire subclass of chondrichthyans, including a global review of major threats and the revised extinction
82 risk statuses for all holocephalan species. We present future research and management directions to:
83 address priority knowledge gaps, promote sustainable fisheries, while ensuring the long-term survival of
84 the oldest extant radiation of fishes.

85 2 Materials and Methods

86

87 We define the species to be included in this review and the data collation process, the application of the
88 IUCN Red List of Threatened Species Categories and Criteria in assessing extinction risk, and the
89 mapping of species distributions.

90

91 2.1 Species list and data collation

92 A two-day workshop was held in June 2018 in João Pessoa, Brazil and conducted by four experts and
93 members of the IUCN Species Survival Commission Shark Specialist Group (IUCN SSC SSG) to review
94 and assess the status of ghost shark species. We reviewed all available information on taxonomy,
95 geographic range, population, habitat and ecology, use and trade, threats, and conservation actions for
96 each species. This information was collated from scientific journal publications, published reports (e.g.
97 fisheries-independent research surveys, stock assessments, indicator analyses), government and agency
98 reports (e.g. National Plan of Action-Sharks, FAO guidebooks), unpublished fisheries data, and expert
99 observations. Thirty-four of the 52 recognized species were assessed at the workshop in Brazil (D.A.
100 Ebert, unpublished data). Additional species, recently assessed as part of regional workshops focusing on
101 the Northeast Pacific held in 2014–15 (one species, Ebert et al., 2017), the European Union in 2014 (three
102 species, Fernandes et al., 2017), Australia in 2015 (10 species, Simpfendorfer et al., 2017), the United
103 Arab Emirates in 2017 (one species, Jabado et al., 2017), and New Zealand in 2017 (three species,
104 Finucci et al., 2019), were incorporated into the global review described here. Of these, the statuses of
105 three species were reassessed to ensure consistency in the application of the IUCN Red List Categories
106 and Criteria.

107

108 2.2 IUCN Red List Categories and Criteria

109 The IUCN Red List Categories and Criteria (Version 3.1) (IUCN, 2012; IUCN Standards and
110 Petitions Subcommittee, 2017) were applied to each ghost shark at the global level. Each species was
111 assessed against each of five quantitative criteria A-E: Criterion A, population size reduction; B,
112 geographic range; C, small population size and decline; D, very small or restricted population; and E,
113 quantitative analysis (e.g. a population viability analysis indicating a probability of extinction). Ghost
114 sharks did not meet any of the Criteria B, C, D, or E, we were unable to provide evidence of restricted
115 geographic range, a small population size, presence of a very small or restricted population, or to support
116 a fully quantitative assessment. Some species did meet the geographic range threshold for Criteria B, but
117 did not meet any two of the three sub-criteria. Thus, species were assessed only against Criteria A, where
118 the rate of population size reduction was determined over the longer time frame of 10 years or three
119 generations ('generation length' is defined as the average age of parents of the current cohort, i.e.
120 newborn individuals in the population; IUCN Standards and Petitions Subcommittee, 2017). Generation
121 lengths were estimated between 15 and 21.7 years (see Table 1), calculated from growth parameters from
122 species-specific age and growth estimates (American Elephantfish, *Callorhinchus callorhynchus*,
123 *Callorhinchidae*) or derived from Calis et al. (2005) and scaled to species' size.

124 Some species were assessed for the first time and were considered Not Evaluated (NE) prior to
125 the workshop. At the workshop, species were assigned to one of eight IUCN Red List categories: Extinct
126 (EX), Extinct in the Wild (EW), Critically Endangered (CR), Endangered (EN), Vulnerable (VU)
127 (collectively, CR, EN, and VU are the 'threatened' categories), Near Threatened (NT), Least Concern
128 (LC), or Data Deficient (DD) (for definitions, see IUCN, 2012). Under Criteria A2, where the cause of
129 population reduction (for ghost sharks this is fishing) has not ceased, is not understood, or may not be
130 reversible, threatened categories are designated as follows: population reduction 30–49% (Vulnerable);
131 population reduction 50–79% (Endangered); population reduction >80% (Critically Endangered) (IUCN,
132 2012). Near Threatened species exhibited some population reduction (20–29%) and Least Concern

133 species were those species where no population reduction was suspected or where population reduction
134 was not approaching these thresholds.

135 The terms *observed*, *estimated*, *projected*, *inferred*, and *suspected* are used in the IUCN Red List
136 of Threatened Species Categories and Criteria to describe the degree of uncertainty of the evidence used
137 for specific criteria (IUCN Standards and Petitions Committee 2017). These terms essentially describe the
138 quality of data used to arrive at the assessments. Where population trend data are lacking, trend can be
139 *inferred* based on changes in catch, landings, or trade data, or *suspected* based on circumstantial evidence.
140 An example of the latter is the qualitative degree of overlap between a species' geographic and depth
141 range and the level of fishing effort; the premise being that complete overlap leaves little refuge from
142 fishing-induced mortality, and 'actual levels of exploitation' (from either directed fishing or bycatch) will
143 drive the population to decline if mortality is greater than the population growth rate. See IUCN
144 Standards and Petitions Committee (2017) for definitions and descriptions. This approach is similar to
145 various forms of ecological risk assessment (Hobday et al., 2011).

146 The Data Deficient (DD) category is applied to taxa where there is inadequate information
147 available to make an assessment of extinction risk (IUCN, 2012). If a species qualified for a change in
148 status from a previously published assessment (a 'down-listing' or 'up-listing' in status), changes were
149 classified as genuine (a change in extinction risk), or non-genuine (e.g. due to new information, or an
150 error in the previous assessment) (IUCN Standards and Petitions Subcommittee, 2017). A precautionary
151 attitude was considered as recommended for global assessments and the downstream consequences of
152 species status were ignored to ensure an unbiased scientific determination of status with-out concern for
153 subsequent management consequences (IUCN Standards and Petitions Subcommittee, 2017). Red List
154 assessments were submitted to the IUCN Red List Unit for publication on the IUCN Red List of
155 Threatened Species (<http://www.iucnredlist.org/>).

156

157 2.3 Species distribution and richness mapping

158 Individual distribution maps were refined from the previously published IUCN Red List
159 Assessment or created for each ghost shark species. The geographic distribution of each species was
160 refined to their known depth range based on the highest-resolution bathymetry dataset available at a
161 global extent (15 arc seconds; GEBCO, 2019). A map of species richness counts (i.e. total number of
162 species) was generated by overlaying each of the 52 species distribution maps and summing the number
163 of extant species found in any one area of the global mapping region. We also mapped the individual
164 distributions for the threatened (CR, EN, VU only) and NT species. All maps were created used ArcGIS
165 Desktop 10.6 (ESRI, 2018).

3 Results and Discussion

We provide a global review of contemporary knowledge of ghost sharks, with an updated revision of all IUCN Red List of Threatened Species assessments. There are 52 recognized ghost shark species across six genera, representing ~5% of chondrichthyan diversity. Ghost sharks have a low proportion of threatened (8%) and NT (8%) species, but still exhibit some data deficiency (15%). Several global ghost shark hotspots were identified, some of which also correspond to areas where ghost sharks are most threatened from fishing activities (e.g. East China Sea). We expand on the following key issues here, including (i) biodiversity, (ii) life history traits and population connectivity, (iii) global spatial and depth distributions and hotspots, (iv) fisheries and international trade, (v) fisheries data availability and improving management, (vi) improving spatial protection, (vii) climate change, (viii) extinction risk, and (ix) recommendations for future research focus.

3.1 Taxonomic diversity

There has been considerable effort in recent years to describe new species and increase our understanding of ghost shark diversity but much needs to be done. Revisions of outdated taxonomic descriptions are required for those species where holotypes have been lost (e.g. *Chimaera monstrosa*), where detailed morphometric descriptions are not available (e.g. the Purple Chimaera *Hydrolagus purpurescens*, Chimaeridae), or where species-complexes are suspected (e.g. Mitsukuri's Chimaera *Hydrolagus mitsukurii*, Chimaeridae). Ultimately, such revisions may change the recorded diversity of the group. To date, there are three species belonging to the family Callorhynchidae, 41 species belong to Chimaeridae, and eight species belong to Rhinochimaeridae. Taxonomic resolution is essential to reduce misidentification, a pattern observed regularly by the authors while producing this work. Improved identification will assist in reporting fisheries catches to the species level which could ultimately give more reliable indications of population trends over time.

191

192 3.2 Life history traits and population connectivity

193 Very basic biological knowledge is often lacking for ghost sharks, allowing plenty of scope to
194 increase research in this field. However, the often-cryptic nature of ghost sharks, coupled with
195 inaccessibility of the deep sea or remote locations, and lack of commercial value, has limited the number
196 of studies on ghost shark life history. Life history studies on deepwater species in particular have been
197 limited to a handful of species commonly caught as bycatch in regional fisheries (e.g. Barnett et al.,
198 2009a; Finucci et al., 2017a; Finucci et al., 2017b). These studies have indicated ghost sharks are likely
199 more productive than other chondrichthyans, for example, *Chimaera monstrosa* has been shown to have a
200 high intrinsic rebound potential relative to other deepwater chondrichthyans (Simpfendorfer & Kyne,
201 2009). This may explain, in part, as to why some ghost shark species appear to be able to withstand
202 considerable exploitation (e.g. Dark Ghostshark [*Hydrolagus novaezealandiae*, Chimaeridae], Fisheries
203 New Zealand, 2019).

204 Age and growth estimations have been attempted for a quarter of species (see Table 1), yet there
205 are significant challenges in aging ghost sharks. Ghost sharks lack hard internal structures (e.g. vertebrae)
206 used for ageing other chondrichthyans and attempts have been made to assess the feasibility of other three
207 other characters, including eye lens (Francis & Ó Maolagáin, 2000), band counts in dorsal fin spines
208 (Francis, 1997; Moura et al., 2004; Calis et al., 2005; Barnett et al., 2009b), and tritor ridges on tooth
209 plates (Bell, 2012; Tseng, 2010; Hannan, 2016; King & McPhie, 2015). Maximum age estimates of 40+
210 years have been suggested from these methods, but results are either unreliable or not validated (Bell,
211 2012). The use of tritor ridges produces vastly different results depending on if the formation of tritor
212 ridges is assumed to be annual or biannual, a similar assumption for vertebral band counts widely used in
213 shark and ray demography (Hannan, 2016). The length and base width of dorsal fin spines is positively
214 correlated with fish length (Bell, 2012), but the mineral density gradients normally indicative of growth
215 zones were not found in dorsal fin spines of the White-spotted Chimaera (*Hydrolagus colliei*,
216 Chimaeridae) (Barnett et al., 2009b). Growth rings observed in dorsal fin spines of *Callorhinchus milii*

217 have been shown to be uncorrelated to age and instead are simply layers of material deposited
218 aperiodically to strengthen the spine (Francis & Ó Maolagáin, 2019). Maximum age estimates for ghost
219 sharks are scarce, although an Australian tagging study in 1973–1976 recaptured a male *Callorhinchus*
220 *milii* estimated to be more than 19 years old (Coutin, 1992; Francis, 1997). Age and growth parameters
221 are essential inputs for national and international management and conservation, including stock
222 assessments (longevity, mortality, biomass estimates), risk assessments, and estimating extinction risk
223 (intrinsic population growth and generation length). Without species-specific age and growth data, a
224 representative species must be used to estimate generation lengths so that population trends can be scaled
225 over time to produce the population reduction required for the application of the IUCN Criterion A. Here,
226 data from *Chimaera monstrosa* was used to estimate generation lengths for chimaerids and
227 rhinochimaerids as this species is found in the deep sea and is of similar size to many other ghost sharks.
228 These generation length estimates should be used with caution, however, until species-specific age,
229 growth, and longevity data become available and validated.

230 Virtually nothing is known of ghost shark population structure or movement. For those species
231 assessed by fisheries management, populations are presumed to be one stock (e.g. Fisheries New Zealand,
232 2019). Given the lack of embryonic dispersal, and assumed limited juvenile and adult dispersal, ghost
233 shark population structure may be more complex than assumed (Barnett et al., 2012). Recent genetic
234 analysis showed that there are two populations of *Chimaera monstrosa*, the Atlantic and Mediterranean
235 Sea, separated by the shallow depth of the Strait of Gibraltar (Catarino et al., 2017). This remains,
236 however, the only published molecular analysis of population structure on ghost sharks. On the west coast
237 of the U.S., differences in temporal trends of *Hydrolagus colliei* abundance suggested at least two distinct
238 stocks in the regional stock structure (Barnett et al., 2012). With acoustic tracking, *Hydrolagus colliei* was
239 shown to have a range of spatial patterns dependent on where the animal was tagged; some individuals
240 remained in one general location, while others showed regular movement patterns of >90 km over a nine-
241 month period (Andrews & Quinn, 2012). It was suggested site fidelity was correlated to high prey density
242 access, and this hypothesis could be indicative of deepwater species distributions known from areas of

243 high productivity (e.g. seamounts). Such observations could have implications for management, as ghost
244 sharks have been shown to aggregate near highly productive areas also associated with high levels of
245 fishing (e.g. Finucci et al., 2018b; Marsac et al., 2019), and limited movement may increase susceptibility
246 to fishing mortality.

247 Mark-recapture studies are recommended to better understand ghost shark age, growth, longevity,
248 and movement patterns. With advancements in technology that have successfully tagged and tracked
249 deepwater chondrichthyans (e.g. Daley et al., 2015), future studies could investigate the feasibility of such
250 methods in deepwater ghost sharks. Alternative methods, such as parasite community structure as a
251 predictor of host population structure has also been trialled in a ghost shark (St. Joseph, *Callorhinchus*
252 *capensis*, Callorhinchidae), and could be a useful tool to compliment other means of assessing population
253 structure (Morris et al., 2019). Further molecular studies are a higher priority to delineate population
254 structure.

255

256 3.3 Global distribution, species richness and endemism

257 Ghost sharks have a high degree of endemism; 37% of species ($n = 19$) are currently known from
258 only one location or one country (Figure 2A,C). Of these, three species (Falkor Chimaera [*Chimaera*
259 *didierae*; Chimaeridae], Dark-mouth Chimaera [*Chimaera buccanigella*, Chimaeridae], and Seafarer's
260 Ghost Shark [*Chimaera willwatchi*, Chimaeridae]) are known only from areas beyond the jurisdiction of
261 any Exclusive Economic Zone (EEZ). Species range by family was largest for rhinochimaerids (71,593–
262 3,768,491 km², mean range = 892,552 km²) and smallest for the chimaerids (224–2,420,847 km², mean
263 range = 349,928 km²) (Figure 2B). No ghost shark has been described from the Arctic and Antarctic FAO
264 Major Marine Fishing Areas (Ebert & Winton, 2010).

265 Australasia had the greatest species richness; combined, these two countries account for 35% of
266 global ghost shark diversity ($n = 18$). Species richness was highest in the Northeast Atlantic, off the
267 northwest coast of Africa (Morocco to Mauritania), followed by the East China Sea, New Zealand, and
268 off the northwest coast of South America (Ecuador and Peru) (Figure 3A). Patterns of ghost shark

269 richness follow similar patterns to that of chondrichthyan total species richness and evolutionarily distinct
270 species richness (Derrick et al., 2020). A notable exception is the Northeast Atlantic region where ghost
271 shark richness was relatively high, but relatively low for all chondrichthyans. Ghost shark endemic
272 species richness patterns was also very similar to chondrichthyan endemic species richness, with the
273 highest regions of ghost shark endemism off Australasia, Japan, South Africa (Madagascar Ridge), Brazil,
274 and the Galapagos Islands (Figure 3B). Some ghost shark endemism was also reported off Portugal
275 (Portuguese Chimaera, *Hydrolagus lusitanicus*, Chimaeridae), a finding not observed in all
276 chondrichthyan endemic species richness.

277 Collectively, absolute reported depth ranges for ghost sharks ranged from 0–2,909 m (mean
278 maximum depth = 1,290 m, s.d. \pm 590 m) (Table 1). By family, depth range was 0–600 m (mean = $218 \pm$
279 95 m) for callorhinchids, 0–2,909 m (mean = 889 ± 330 m) for chimaerids, and 90–2,603 m (mean = 943
280 ± 521 m) for rhinochimaerids. The Smalleyed Rabbitfish (*Hydrolagus affinis*, Chimaeridae) had the
281 deepest recorded depth of 2,909 m, and along with the Smallspine Chimaera (*Harriotta haeckeli*,
282 Rhinochimaeridae), these species had the greatest reported depth ranges (293–2,909 m and 1,114–2,603
283 m, respectively).

284

285 3.4 Ghost shark fisheries and trade

286 Ghost sharks are predominately bycatch species with little to no commercial value. They may be
287 discarded, or retained and utilized for human consumption, fish meal, fertilizer, or liver oil, predominately
288 within local communities. The international market comprises primarily of the meat of inshore
289 callorhinchids (and to a lesser extent *Hydrolagus bemisi* and *Hydrolagus novaezealandiae*), which are
290 often marketed under names such as pearl fish, silver fish, and smoothhound fillets to markets in
291 Australia, China, Japan, and Brazil (Nibam, 2011; Seafood NZ, 2018; SUBPESCA, 2020). As coastal
292 human populations rise and pressure on already depleted coastal fisheries increases, fishing effort has
293 shifted into deeper and previously unexploited waters, exposing some deeper-dwelling ghost sharks to
294 new fishing pressures. This effort expansion is revealed by new species and new records in recent years in

295 regions including the Caribbean Sea and Andaman Sea (e.g. Polanco-Vásquez et al., 2017; Kumar et al.,
296 2018). In the Caribbean Sea, interest in developing deepwater fisheries has grown (e.g. Wehrtmann et al.,
297 2017; Paramo et al., 2017), and some small-scale fishing across the region operate to depths where
298 cartilaginous fishes are occasionally caught (Baremore et al., 2015; Hacothen-Domené et al., 2020). The
299 Caribbean Chimaera (*Neoharriotta carri*, Rhinochimaeridae) was one of the most abundant deepwater
300 chondrichthyans sampled from demersal trawl surveys off the Caribbean coast of Central America at
301 depths up to 1,500 m, accounting for 16% ($n = 62$) of chondrichthyan catch (Benavides et al., 2014). This
302 species is not known to be targeted in industrial fisheries, but is caught as bycatch in demersal trawl,
303 trammel net, gillnet, and longline fisheries and has particularly high distribution overlap with fisheries
304 operating from Venezuela (Benavides et al., 2014; Oscar Lasso Alcalá, personal communication). In
305 eastern Indonesia, artisanal deepwater longline fisheries operate to depths of up to 800 m, and Ogilby's
306 Chimaera (*Chimaera ogilbyi*, Chimaeridae) accounted for nearly 10% of chondrichthyan landings at some
307 fish landing sites (White et al., 2009; Prihatiningsih & Chodrijah, 2018). One exploratory Peruvian
308 Patagonian Toothfish (*Dissostichus eleginoides*, Nototheniidae) fishery reported the Eastern Pacific Black
309 Ghostshark (*Hydrolagus melanophasma*, Chimaeridae) comprising 35% of the total fish catch by weight
310 (Bustamante, 1997); the species is regularly reported from fisheries operating along western South
311 America in Ecuador, Peru, and Chile (D.A. Ebert, unpublished data; Ñacari et al., 2020). Deepwater
312 chondrichthyan fisheries predominately target species which can be utilized for their oil-rich livers, such
313 as dogfishes *Squalus* spp and gulper sharks *Centrophorus* spp (White et al., 2009; Akhelish, 2014), but
314 fishing effort is often indiscriminate, and ghost sharks are often utilized when caught. These fisheries
315 generally emerge in regions where there is high artisanal fishing effort and limited capacity to manage or
316 enforce sustainable use of fisheries resources (Pomeroy, 2012).

317 There appears to be an increasing global interest in ghost shark liver oil, often marketed as ratfish
318 oil. Chondrichthyans have long been utilized for their liver oil (e.g. Francis, 1998), in which extracted
319 squalene is used for fuel, cosmetic and pharmaceutical purposes, dyes, and sunscreens. Little is known of
320 contribution of ghost shark liver oil to the squalene industry, apart from the target fishery for the Sicklef

321 *Chimaera* (*N. pinnata*, Rhinochimaeridae) in India where its liver oil is considered high quality and is the
322 second-most valuable liver oil (after gulper shark) (K.K. Bineesh, personal communication). Liver oil
323 may be processed locally or shipped overseas for processing before being sold on the international market
324 to places such as Japan and the European Union. *Neoharriotta pinnata*, known from the Southeast
325 Atlantic Ocean (west coast of Africa) and Northern Indian Ocean (Gulf of Aden to Sri Lanka), is one of
326 the few ghost sharks with a known targeted fishery. In Cochin, India, intensive targeted fishing effort of
327 the species resulted in a 90% decline in landings of from 57.9 t to 5.8 t between 2008 and 2011 (Akhilesh
328 et al., 2011; Akhilesh, 2014). Catch records are sparse elsewhere across its distribution, although fishing
329 effort from distant water fleets along West Africa has grown considerably since the 1960s (Alder &
330 Sumaila, 2004). In the North Atlantic, noticeable increases in the retention of ghost sharks may be a
331 response to compensate for the zero-total allowable catch (TAC) for deepwater sharks (ICES-WGEF,
332 2018). Since 1991, estimated landings of ghost sharks (*Chimaera monstrosa*, and *Hydrolagus* spp.) show
333 no trends, however, official landings from countries reporting to the International Council for the
334 Exploration of the Sea (ICES) have increased by over two-fold during 2006–2014 (ICES-WGEF, 2018).
335 Norway, in particular, has seen increases in retention of *Chimaera monstrosa*, from 114 t in 2012, to 217 t
336 in 2017 as deepwater sharks – the traditional source of liver oil – have come under zero-retention
337 regulations (ICES-WGEF, 2018). Ghost shark liver oil products are readily available for online purchase,
338 and while there is a wealth of knowledge available on its application, there is virtually nothing known on
339 trade or species affected. If new fisheries were to develop for ghost shark liver oil, targeted species will
340 likely be susceptible to rapid population reduction.

341 There is very little fisheries data on ghost sharks; available information comes from catch and
342 effort or landings data, and fisheries-independent and -dependent surveys. However, reporting of ghost
343 shark catch may or may not be obligatory, and if reported, species are often lumped under a generic
344 fisheries code, such as ‘*Hydrolagus* sp.’, ‘silver shark’, or the ‘rabbitfish (*Chimaera monstrosa* and
345 *Hydrolagus* spp)’ code used by nations reporting to the ICES advisory body. The abundance of species
346 records ranged from one known individual (*Chimaera didierae*) to considerable annual fisheries catch

347 records, e.g. 1,363 tonnes of *Hydrolagus novaezealandiae* reported landings in 2017–2018 New Zealand
348 commercial catches (Fisheries New Zealand, 2019). Without species-specific information, it is difficult to
349 determine the effect of fishing, if any, on individual species. For fisheries management, the absence of
350 species-specific information on catch, life history, and migration, reduces the ability to measure
351 abundance trends and can result in undetected local extinctions (Dulvy et al., 2000). For IUCN Red List
352 assessments and other conservation-based measures, trends in population abundance must then be
353 inferred from related species or fishing effort (‘actual levels of exploitation’).

354 If fisheries are known to overlap with species’ spatial and/or depth distributions, but the degree of
355 threat cannot be assessed with certainty, then species cannot be assessed beyond Data Deficient. Two
356 regions had a number of Data Deficient species because trend data were unavailable. The first area, the
357 Northwest Pacific Ocean, where three species are known to occur, Jordan’s Chimaera (*Chimaera jordani*,
358 Chimaeridae), Owston’s Chimaera (*Chimaera owstoni*, Chimaeridae), and Ninespot Chimaera
359 (*Hydrolagus barbouri*, Chimaeridae). These ghost sharks are not known to be targeted but may be caught
360 as bycatch in industrial demersal trawl or recreational set net fisheries and are likely discarded at sea or
361 landed under a generic “shark” code (H. Ishihara, personal communication). The second region, the
362 Southwest Indian Ocean, included four species [*Chimaera buccanigella*, *Chimaera didierae*, *Chimaera*
363 *willwatchi*, and Robin’s Ghostshark (*Hydrolagus erithacus* Chimaeridae)] where, since the 1970s,
364 relatively recent fisheries rapidly developed on the high seas for commercially important deepwater
365 stocks such as Orange Roughy (*Hoplostethus atlanticus*, Trachichthyidae), Alfonsino (*Beryx* spp), and *D.*
366 *eleginoides* (Bensch et al., 2009; Marsac et al., 2019). Deepwater sharks have been both targeted and
367 taken incidentally in a number of gear types including demersal trawl, midwater trawl, demersal longline,
368 and demersal gillnet (Marsac et al., 2019; Georgeson et al., 2019), but there are no data available for
369 ghost sharks from this region. It is not known if fishing activities are driving population reductions.

370

371 3.5 Species specific fisheries management: can ghost shark fisheries be sustainable?

372 The majority of ghost sharks (90%) have no species-specific management. However, four of the
373 five species that are managed appear to be able to withstand some levels of fishing pressure. Of the five
374 ghost sharks where species-specific management action was found, four of these species were assessed as
375 LC (Table 2). Three species, *Callorhinchus milii* (Australia and New Zealand), *Hydrolagus bemisi*, and
376 *Hydrolagus novaezealandiae* (both New Zealand), have been identified as some of the most sustainable
377 shark fisheries in the world (Simpfendorfer & Dulvy, 2017). Species-specific management tools for ghost
378 sharks include: Individual Transferable Quotas (ITQs), recreational bag limits, spatial and temporal
379 fisheries closures, limited entry, and gear restrictions for target fisheries (e.g., da Silva et al., 2015;
380 Fisheries New Zealand, 2019; AFMA, 2020). Without management, however, ghost sharks are prone to
381 population reduction, as observed for *Callorhinchus milii* in New Zealand. By 1986, *Callorhinchus milii*
382 was considered overfished in parts of New Zealand after decades of increasing commercial exploitation
383 dating back to the early 1900s (Francis, 1998). This species was introduced to the New Zealand Quota
384 Management System (QMS) that year and a conservative Total Allowable Commercial Catch (TACC)
385 was introduced to promote stock rebuilding. Within a decade the stock was rebuilt (Francis, 1998),
386 providing evidence that ghost sharks are capable of rebounding from population reduction, even after
387 periods of intense fishing effort (Barnett et al., 2012; Fisheries New Zealand, 2019).

388

389 3.6 Ghost shark hotspots and marine protection

390 The Southwest Pacific is a global hotspot for ghost shark diversity, where over a third of species
391 have been documented. This Australasian region is known for its high levels of marine endemism; nearly
392 a quarter of Australian fish fauna and a quarter of New Zealand coastal fish fauna are endemic to their
393 respective countries (Walrond, 2009; Eschmeyer et al., 2010). Both Australia and New Zealand display a
394 high degree of chondrichthyan endemism with 25% and 20% of species, respectively (Simpfendorfer et
395 al., 2017; Finucci et al., 2019). At present, there are few accounts of ghost sharks from Pacific Islands
396 (e.g. Pointy-nosed Blue Chimaera *Hydrolagus trolli*, Chimaeridae, from New Caledonia). The lack of

397 records may reflect limited deepwater fishing activity and surveys in the region, and additional species
398 and species records are expected with increased deepwater exploration.

399 There are no marine protected areas (MPAs) designated specifically to benefit ghost sharks,
400 although some established efforts may indirectly provide partial refuge from anthropogenic impacts. The
401 distributions of the Whitespot Ghostshark (*Hydrolagus alphus*, Chimaeridae) and Galapagos Ghostshark
402 (*Hydrolagus mccoskeri*, Chimaeridae) fall entirely within the Galapagos Marine Reserve. The Punta
403 Bermeja Natural Protected Area (Rio Negro Province) in Argentina, originally designated in 1971 to
404 protect one of the largest colonies of the South American Sea Lion (*Otaria flavescens*, Otariidae), now
405 limits most fishing and forbids the retention of any chondrichthyan (Venerus & Cedrola, 2017). In New
406 Zealand, the Banks Peninsula Marine Mammal Sanctuary bans most industrial gillnet and trawl fisheries
407 to protect Hector's dolphins (*Cephalorhynchus hectori*, Delphinidae) from bycatch (Dawson & Slooten,
408 1993). Both protected areas likely offer refuge from fishing effort for regional callorhynchid species. The
409 combination of the extensive Australian Marine Park network (Parks Australia, 2020) and spatial fisheries
410 management arrangements may provide refuge for several Australian species. This includes the closure of
411 most southeastern Australian waters deeper than 700 m to trawling (Patterson et al., 2019).

412 Habitat use of ghost sharks is largely unknown, thus impeding the ability to identify and protect
413 areas of importance to these species. Particular patterns of habitat use or requirements may increase ghost
414 shark exposure to anthropogenic impacts. Some species have been documented to aggregate in large
415 numbers (Holt et al., 2013; Finucci et al., 2018b). The reasoning for these occurrences is unclear but we
416 speculate they are for reproduction. Ghost sharks are oviparous but egg-laying grounds and possible
417 nursery areas have not been identified for most species and may prove difficult to find if egg capsules are
418 buried in the sediment (Freer & Griffiths, 1993). Large numbers of egg capsules and/or juveniles have
419 been identified from seven locations: the Mernoo Bank on the Chatham Rise (*Hydrolagus bemisi* and
420 *Hydrolagus novaezealandiae*) and Canterbury Bight and Marlborough Sounds (*Callorhynchus milii*) off
421 New Zealand (Horn, 1997; Francis, 1997); the Gulf of San Matías in Argentina (*Callorhynchus*
422 *callorhynchus*) (Di Giacomo & Perier, 1994); the Gulf of Mannar off India (rhinochimaerid, identified as

423 the Atlantic Longnose Chimaera *Rhinochimaera atlantica*, Rhinochimaeridae, but more likely to be
424 *Neoharriotta pinnata* (Chembian, 2007); and St Helena Bay off South Africa (*Callorhinchus capensis*)
425 (Freer & Griffiths, 1993). In Western Port, Australia, large concentrations of *Callorhinchus milii* eggs and
426 neonates were found on the outer margins of subtidal areas on sandy sediment and seagrass meadows,
427 suggesting this is an important region for early life history stages of the species (Braccini et al., 2009).
428 This area has also undergone extensive habitat loss and modification with urbanization, resulting in
429 increased turbidity, loss of seagrass and mangrove habitat, and high nutrient and contamination loading
430 (May & Stephens, 1996). Effects of these environmental changes were suspected to result in considerable
431 reductions in adult stock size or recruitment failure (Walker & Hudson, 2005; Braccini et al., 2009).
432 There are a number of coastal habitats off Tasmania, Australia, declared as shark refuge areas (SRAs)
433 where fishing for elasmobranchs is prohibited (Barnett et al., 2019). Despite recent efforts showing these
434 areas also provide essential habitat for *Callorhinchus milii* reproduction, ghost sharks are not included in
435 this current management scheme (Barnett et al., 2019).

436 For many deepwater ghost sharks, protecting species-specific areas of importance may not be an
437 option, particularly for those species in Areas Beyond National Jurisdiction (ABNJ). However, some
438 general management measures are available. Three ghost sharks (*Chimaera buccanigella*, *Chimaera*
439 *didierae*, *Chimaera willwatchi*) are “key species of concern” within the international fisheries agreement,
440 Southern Indian Ocean Fisheries Agreement (SIOFA), because of their restricted distributions (SIOFA,
441 2019). In the Northeast Atlantic where 10 ghost shark species occur, the North East Atlantic Fisheries
442 Commission (NEAFC) implemented fisheries management measures including the banned use of gill,
443 entangling, and trammel nets in depths >200 m, fisheries closures along the Mid-Atlantic Ridge and
444 Rockall Hatton Bank, and prohibited targeting of deepwater chondrichthyans, including ghost sharks
445 (NEAFC, 2017). The establishment of the South African offshore multi-use Prince Edward Islands
446 Marine Protected Area includes limited fishing effort (WWF, 2013) and may provide some refuge for the
447 recently described *Hydrolagus erithacus*. Other MPAs inclusive of seamounts, such as the Motu Motiro
448 Hiva Marine Park off Easter Island, where unidentified ghost shark species have been reported

449 (Friedlander et al., 2013), are also likely to minimize some impacts of human activities for ghost sharks in
450 the region.

451 Ghost sharks have been captured or observed in areas such as deep-reefs on the continental slope
452 (Soto & Vooren, 2004; Quaranta et al., 2006), which may be at risk of anthropogenic impact. In the deep
453 ocean, ghost shark egg-laying or foraging habitat may be at risk from damage caused by demersal
454 trawling and exploratory mineral mining. The effects of fishing on the demersal marine environment are
455 well-studied (Jones, 1992; Clark & Rowden, 2009), but the impact of new industries with growing
456 interest, such as deepwater mining, are still relatively unknown. Large clusters of egg cases from other
457 oviparous chondrichthyans have been located near cold seeps (Treude et al., 2011), cold-water coral reef
458 habitat (Henry et al., 2013), and various discreet locations of the outer and middle shelf and upper slope
459 of canyons (Hoff, 2016). Most recently, hydrothermal vents have been identified as natural egg-case
460 incubators for the Pacific White Skate (*Bathyraja spinosissima*, Arhynchobatidae) along the Galapagos
461 Rift in the Pacific (Salinas-de-León et al., 2018). While it is unknown if ghost sharks also engage in this
462 behaviour, deepwater video imaging analysis has revealed ghost sharks associated with hydrothermal
463 vents, feeding on the demersal fauna (Cuvelier et al., 2009). Hydrothermal vents are also of particular
464 interest for resource extraction (Boschen et al., 2013). Habitat preference and usage is likely to be
465 species-specific, as different species have been observed across specific habitat types (e.g. soft bottom
466 substrate, high rock relief; see Ebert, 2016). Identifying these areas of importance for various life history
467 stages is essential for the spatial and temporal management of such deepwater features before they are
468 impacted by human activities (Clark & Dunn, 2012).

469

470 3.7 Future threat: Changing oceans

471 Analyses of on the impacts of climate change on chondrichthyans have largely been limited to
472 estuarine, inshore, and reef-associated species (e.g. Chin et al., 2010). Preliminary and anecdotal evidence
473 suggests inshore ghost sharks may also be subject to changes in the environment associated with climate.
474 Expected responses to climate change in marine species include changes in behaviour, life history, and

475 habitat use (Hollowed et al., 2013). In South Africa, where changes in sea temperature and upwelling
476 intensity have been documented in recent decades (Rouault et al., 2010), *Callorhinchus capensis* was
477 identified to be amongst the most sensitive species assessed to regional climate change impacts (Ortega-
478 Cisneros et al., 2018). Ghost sharks deposit multiple pairs of egg capsules on the seafloor where embryos
479 develop over an incubation period of up to six months before hatching (Didier & Rosenberger, 2002).
480 This prolonged incubation period leaves egg capsules vulnerable to environmental disturbances. Stranded
481 *Callorhinchus capensis* egg capsules have been reportedly dislodged during storms (Freer & Griffiths,
482 1993), and increased storm frequency as a result of climate change may influence population recruitment
483 due to a loss of eggs reaching hatching stage (Ortega-Cisneros et al., 2018).

484 Ghost sharks may also undergo changes in distributions with increasing sea temperatures. An
485 evaluation of species distributions from trawl survey effort between 1985 and 2010 estimated that
486 *Callorhinchus capensis* could experience a latitudinal range contraction in Namibian waters of up to 60
487 km year⁻¹; this rate of contraction corresponded with warming sea temperatures (Yemane et al., 2014).
488 Off the east coast of New Zealand's South Island, relative fish abundance indices for *Callorhinchus milii*
489 were strongly correlated with increasing sea surface temperature and sea surface height (Dunn et al.,
490 2009). The mechanisms for this correlation are unknown and cannot be determined if increases in
491 abundance indices were indicative of true population increase. As sea temperatures rise, demersal species
492 have been shown to shift to deeper waters (Dulvy et al., 2008). Such movement could displace inshore
493 ghost sharks from shallower, protected or less-fished areas into deeper waters which may increase
494 species' catchability, and thus, susceptibility to fishing.

495

496 3.8 Extinction risk

497 Overall, 16% ($n = 8$) of ghost sharks were threatened (VU) or NT worldwide (Figure 4, Table 1):
498 four species (8%) were VU and four species (8%) were NT. None of the species were assessed as EX,
499 EW, CR, or EN. A total of 36 species (69%) were LC and eight (15%) as DD, where there was
500 insufficient information to make an accurate assessment of extinction risk. Seven species were assessed

501 for the first time (13%; previously NE); five were classified as DD (9%), and two as LC (4%). By family,
502 one (33%) callorhynchid and three (7%) chimaerids were threatened. Twenty-five percent of
503 rhinochimaerids were NT ($n = 2$) which included two of the three species of *Neoharriotta*. VU and NT
504 species met Criterion A (the population reduction criterion) with suspected population reductions over
505 three generations of 30–49% and 20–29%, respectively (Figure 4). From previous assessments, 21 species
506 (40%) changed status and all changes were considered non-genuine (i.e. there was not a genuine
507 improvement or deterioration in extinction risk). One species, the Large-eyed Rabbitfish (*Hydrolagus*
508 *mirabilis*, Chimaeridae), was down-listed in status from NT to LC. The species was previously listed as
509 NT because deepwater fishing pressure was anticipated to increase and with no management in place,
510 future population reduction was suspected. However, economic forces including volatile fuel prices
511 deterred fishing effort from materializing further into deeper waters (e.g. Abernethy et al., 2010). Since its
512 initial assessment, there are no data to infer or suspect *Hydrolagus mirabilis* has exhibited any population
513 reduction. All updated assessments can be found on the IUCN Red List of Threatened Species website,
514 <https://www.iucnredlist.org/>.

515 Documented declines based on abundance data were found for only two species: *Chimaera*
516 *monstrosa* (VU) and *Chimaera ogilbyi* (NT). *Chimaera monstrosa* has a widespread distribution across
517 the Northeast Atlantic Ocean and Mediterranean Sea where there is an extensive history of fishing
518 (Romas & Fernández-Peralta, 1995; ICES-WGEF, 2018). It is caught as bycatch in deepwater trawl,
519 longline, and gillnet fisheries (ICES-WGEF, 2018). In the Tyrrhenian Sea (western Mediterranean Sea), a
520 decline of 91% in relative abundance of *Chimaera monstrosa* was estimated from commercial and
521 research trawl surveys from 1972–2004 (Ferretti et al., 2005). Surveys in the central Aegean Sea (eastern
522 Mediterranean Sea) failed to detect the species during a 10-year study period (1995–2000 and 2003–2006,
523 Damalas & Vassilopoulou, 2011). These reports may be an artefact of survey limitations (e.g. not
524 sampling the entire species' depth range), however, large declines (>90%) or the disappearance of slope
525 species have been widely reported across the Mediterranean Sea (Aldebert, 1997; Ferretti et al., 2013).
526 Regional fishing pressure in the Mediterranean Sea is expected to continue into the future as fishing effort

527 shifts to non-European waters, including areas previously regarded as refugia (Colloca et al., 2017). In
528 Sweden, *Chimaera monstrosa* is listed as nationally Endangered (ICES-WGEF, 2018). While often
529 discarded, post-release mortality rates for *Chimaera monstrosa* are estimated to be high (Moura et al.,
530 2018). Post-release mortality is likely to be persistent amongst all ghost sharks given the poor condition
531 individuals are in (if alive at all) when hauled on deck (e.g. Braccini et al., 2012).

532 In the Indo-Pacific, *Chimaera ogilbyi* is arguably the only ghost shark where species-specific
533 population reduction as a result of fishing is well-documented. Between 1976–77 and 1996–97, mean
534 catch rate of *Chimaera ogilbyi* from the upper slope trawl fishery off New South Wales, Australia
535 declined from 8.3 kg/hour to 0.3 kg/hour (Graham et al., 2001), equating to a population reduction of
536 >99.9% over three generation lengths (~56 years). This region is estimated to include approximately 10%
537 of the species' known distributional range, which extends throughout Australia, Indonesia, and Papua
538 New Guinea (Finucci et al., 2018a). The steep decline in this small part of its range was offset by low
539 mortality throughout the rest of its range. Previous assessments for Ogilby's Ghostshark (*Hydrolagus*
540 *ogilbyi*, Chimaeridae) and the Blackfin Ghostshark (*Hydrolagus lemures*, Chimaeridae) were VU and LC,
541 respectively. Taxonomic resolution of this group synonymized *Hydrolagus lemures* with *Hydrolagus*
542 *ogilbyi* and clarified generic placement (Finucci et al., 2018a); *Chimaera ogilbyi* was assessed as NT.

543 Where species-specific abundance trends were unavailable, the statuses of other VU and NT
544 ghost sharks were based on the high degree of intersection between geographic distribution range and
545 intensive fishing pressures ('actual levels of exploitation' in IUCN Criterion A). A qualitative ecological
546 risk assessment-style approach was applied, whereby both spatial overlap and the level of fishing effort
547 was considered to assess 'levels of exploitation' and the resultant *suspected* population reduction. The
548 Striped Rabbitfish ([*Hydrolagus matallansi*, Chimaeridae], VU) is endemic to a small part of southern
549 Brazil (states of Rio de Janeiro and Santa Catarina), where rapid and intense deepwater fisheries
550 developed in the late 1990s to reduce pressure on depleted coastal stocks (Alvarez Perez et al., 2009).
551 These fisheries have operated across the entire known geographical and bathymetrical range of
552 *Hydrolagus matallansi* (e.g. Perez et al., 2013), where the species was caught in fisheries and bycatch

553 monitoring programs (Rincon et al., 2018). Fishing activities mostly ceased in 2006 but may return at any
554 point in the future due to the dynamic nature of Brazilian fisheries (Alvarez Perez et al., 2009).

555 Data was most limited for ghost sharks in the Northwest Pacific. Here, the Silver Chimaera
556 (*Chimaera phantasma*, Chimaeridae], VU), has a relatively shallow distribution (most records <500 m)
557 and is commonly observed as landed catch from the trawl fisheries in the East China Sea (A. Yamaguchi,
558 personal communication; Ebert et al., 2013), where fishing intensity is high (Szuwalski et al., 2016). Its
559 distribution extends across the South China Sea where there has been a 52% decline in all shark species
560 landings in Taiwan between 1953 and 2015 (Liao et al., 2019), and reconstructed catches of sharks, rays,
561 and skates from China have declined by 67% (90,000 t to 30,000 t annually) since the 1950s (Zeller &
562 Pauly, 2016). In the Philippines, where fishing of deepwater chondrichthyans dates to the 1960s (Flores,
563 2004), unidentified ghost sharks, also referred to as "silversharks" and may include *Chimaera phantasma*,
564 have been collected from local landing sites (BFAR, 2017). *Hydrolagus mitsukurii* (NT) is also known
565 from this region, but its range also extends to Papua New Guinea where there are currently no known
566 deepwater fisheries. In Taiwanese fish markets, *Hydrolagus mitsukurii* is not nearly as common as
567 *Chimaera phantasma*, but as fishing effort expanded into deeper waters, observations of the species have
568 increased in the past 20 years (Ebert et al., 2013).

569 Deep-water species often receive less attention than their inshore and pelagic counterparts due to
570 the perceived notion that these species are out of sight and out of mind – existing at depths beyond the
571 reach of current fishing activities and thus, face a lesser degree of threat (Dulvy et al., 2014). No explicit
572 relationship was observed between threat level and mean depth distribution, although VU and NT species
573 generally had shallower mean depth distributions (Figure 5, Table 1). The mean depth distributions of all
574 threatened and NT species were <600 m (246–576 m), with the exception of *Chimaera monstrosa* (932
575 m). The co-occurrence of threatened or NT species could reveal higher than average deepwater fishing
576 mortality (Dulvy et al., 2014; Jabado et al., 2017). However, there was little spatial distributional overlap
577 of VU and NT species (Figure 6). Some limited overlap of VU and NT species occurred off the coast of
578 Mauritania (*Chimaera monstrosa* and *N. pinnata*), Japan, and in the East and South China Seas

579 (*Chimaera phantasma* and *Hydrolagus mitsukurii*). The Bay of Biscay also has overlap of *Chimaera*
580 *monstrosa* and *N. pinnata*, where one specimen of *N. pinnata* was recently found (Diez & Mugerza,
581 2017).

582

583 3.9 Recommendations

584 Ghost sharks remain poorly understood as a result of little public appeal, no apparent commercial
585 value, and limited accessibility due to their distribution and cryptic nature. Past, present, and future
586 human activities are likely to impact some species. Species-specific reporting, monitoring and
587 management is needed to assess population trends at the species level and to ensure ghost sharks do not
588 undergo similar population reductions observed in many of their cartilaginous cousins. IUCN Red List
589 status is not a statement of conservation priority (IUCN Standards and Petitions Subcommittee, 2017) but
590 by assessing species using the IUCN Red List of Threatened Species Categories and Criteria, this process
591 can assist in identifying knowledge gaps and where research efforts should be focused. While all ghost
592 sharks warrant further research as outlined above, we have identified several species that are most in need
593 of immediate monitoring and management. These species face high levels of exploitation across most, if
594 not all, of their known distribution, have limited refuge from fishing activities, and have little to no
595 species-specific management. The genus *Neoharriotta*, comprising three species, are amongst the larger
596 of the ghost sharks (reaching at least 127 cm total length), utilized for their flesh and oil-rich livers, and
597 are readily accessible to near-shore fisheries. Two of the three *Neoharriotta* spp were assessed as NT. As
598 mentioned previously, *N. pinnata*, is currently targeted intensively off the coast of India for its liver oil,
599 and much of its known distribution around Africa overlaps with intensive, and often illegal, fishing efforts
600 from distant water fleets (Belhabib, 2017). In the Caribbean, *Neoharriotta carri* is increasingly being
601 observed in developing deepwater fisheries across the southern Caribbean Sea, where a number of
602 fisheries using multiple gear types reach depths of 800 m, covering the entire known depth range of the
603 species (Benavides et al., 2014; Polanco-Vásquez et al., 2017; Oscar Lasso Alcalá, personal
604 communication). Catch rates and utilization of the species are not well known. The third species, the

605 Arabian Sicklefin Chimaera (*Neoharriotta pumila*, Rhinochimaeridae), was listed as Least Concern, as its
606 depth distribution is largely beyond the depth range of regional fisheries off Somalia and Yemen (Jabado
607 et al., 2017). However, increasing interest from foreign fisheries fishing fleets and high rates of illegal,
608 unreported, and unregulated (IUU) fishing off the coast of Somalia (Glaser et al., 2019), as well as reports
609 of large shipments of shark liver oil exported from the region (K.K. Bineesh, personal communication),
610 suggest this species may soon be a risk from intensive fishing pressure, if not already. An investigation
611 into the global liver oil trade and its uses is needed to fully understand the ecological impacts on these
612 species, as well as the economic and social impacts on communities and industries that rely and/or profit
613 on them.

614 *Callorhynchus callorhynchus* was one of a few ghost sharks assessed as threatened. Its
615 widespread, inshore distribution off the coast of South America in the Southwest Atlantic and Southeast
616 Pacific Oceans subjects the species to intensive fishing pressure throughout the year across most of its
617 depth and spatial distribution (Aedo et al., 2010; Alarcón et al., 2011; Bernasconi et al., 2015). It is
618 utilized for its flesh and fins in local and international trade (SUBPESCA, 2020). As bycatch, it is often
619 recorded in industrial fisheries targeting shrimp species and Argentine Hake (*Merluccius hubbsi*,
620 Merlucciidae) and is one of the most recorded and landed chondrichthyans in the region (e.g. Núñez et al.,
621 2016). Species-specific trends are difficult to assess. In Argentina, increases in landings and large
622 fluctuations in catch-per-unit-effort (CPUE) are thought to reflect fleet dynamics rather than true
623 population abundance (Bernasconi et al., 2015). In Chile, large declines in biomass (~24,000 to ~3,000 t)
624 were estimated between 1986 and 2008 in fishing Regions IV to X (accounting for 99% of landings)
625 (Aedo et al., 2010). While some species-specific and general management arrangements are available
626 (e.g. gear restrictions, daily catch limits, limited entry, see Table 2), much of the species' distribution
627 occurs where fisheries have been characterized by declining catches and a shift to species of lower trophic
628 levels (e.g. Villasante et al., 2015). In Chile, widespread artisanal fisheries account for nearly half of all
629 fish and crustacean landings, however, monitoring of landings is poor and thought to be under-reported
630 (Van der Meer et al., 2015). Given that callorhynchids can exhibit population decline when sufficient

631 fisheries management is not available, we recommend that quotas are set to ensure fishing activities
632 become sustainable and any population declines are stabilized and reversed. As a wide-ranging species
633 across South America, transnational co-operation will likely be necessary to encourage sustainable
634 management of this locally important marine resource.

635

636 **Data Availability Statement**

637 Data sharing is not applicable to this article as no new data were created or analyzed in this study.

638 All updated assessments can be found on the IUCN Red List of Threatened Species website,
639 <https://www.iucnredlist.org/>.

640

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1056 **FIGURE LEGENDS**

1057 **Figure 1.** Representative species from each ghost shark family: a) Elephantfish (*Callorhynchus milii*,
1058 Callorhynchidae); b) Pointy-nosed Blue Chimaera (*Hydrolagus trolli*, Chimaeridae); and, c) Pacific
1059 Longnose Chimaera (*Rhinochimaera pacifica*, Rhinochimaeridae). Photo credits to P. Marriott/NIWA.

1060 **Figure 2.** A) The number of countries of occurrence by ghost shark species; B) frequency of species
1061 occurrence by country, grouped by ghost shark family; and, C) frequency of species range (log₁₀ km²),
1062 grouped by ghost shark family. Ghost shark families: Callorhynchidae (blue), Chimaeridae (grey), and
1063 Rhinochimaeridae (brown). The three species of ghost sharks found in areas beyond national jurisdictions
1064 (Falkor Chimaera, [*Chimaera didierae*, Chimaeridae], Dark-mouth Chimaera [*Chimaera buccanigella*,
1065 Chimaeridae], and Seafarer's Ghost Shark [*Chimaera willwatchi*, Chimaeridae] are not included in A) or
1066 B).

1067 **Figure 3.** A) Global ghost shark species richness (i.e. total number of individual species); and B) global
1068 ghost shark endemic species richness.

1069 **Figure 4.** Percentage of species in each of the IUCN Red List of Threatened Species categories, by ghost
1070 shark family. Number of species in each family reported in brackets.

1071 **Figure 5.** Depth range (m) for each ghost shark, ranked by decreasing mean depth (m). Species coloured
1072 according to extinction risk (Vulnerable [yellow], Near Threatened [pale green], Least Concern [green],
1073 and Data Deficient [grey]). Horizontal line refers to mean depth of each ghost shark family
1074 (Callorhynchidae [blue], Chimaeridae [grey], and Rhinochimaeridae [brown]).

1075 **Figure 6.** Distribution of Vulnerable (VU) (*Callorhincus callorhynchus* [orange], *Chimaera monstrosa*
1076 [dark purple], *Chimaera phantasma* [purple], *Hydrolagus matallanasi* [maroon]) and Near Threatened
1077 (NT) (*Chimaera ogilbyi* [pink], *Hydrolagus mitsukurii* [black], *Neoharriotta carri* [blue], *Neoharriotta*
1078 *pinnata* [yellow]) ghost sharks globally.

1079 Table 1. Summary of all ghost sharks assessed against the IUCN Red List of Threatened Species Categories and Criteria. IUCN Red
 1080 List of Threatened Species categories: VU, Vulnerable; NT, Near Threatened; LC, Least Concern; DD, Data Deficient. See IUCN
 1081 (2012) for explanations of Categories and Criteria. Generation lengths estimated for VU and NT species only. * Female age-at-
 1082 maturity and longevity measurements have not been validated and should be used with caution.
 1083

Family	Species name	Authority	Common name	Red List Assessment	Depth range (m)	Maximum size (cm)	Female age-at-maturity; Longevity (years)*	Generation Length (years)	Source(s)
Callorhynchidae	<i>Callorhynchus callorhynchus</i>	(Linnaeus, 1758)	American Elephantfish	VU A2d	0–481	102	5–9; 28	17.5	Alarcón et al. 2011; Weigmann 2016
	<i>Callorhynchus capensis</i>	Duméril, 1865	St. Joseph	LC	0–600	120	4.2; 10	–	Freer & Griffiths 1993; Weigmann 2016
	<i>Callorhynchus milii</i>	Bory de Saint-Vincent, 1823	Elephantfish	LC	0–227	125	4.5; 19	–	Francis, 1997; Weigmann 2016
Chimaeridae	<i>Chimaera argiloba</i>	Last, White & Pogonoski, 2008	Whitefin Chimaera	LC	370–520	91.2	–	–	Weigmann 2016
	<i>Chimaera bahamaensis</i>	Kemper, Ebert, Didier & Compagno, 2010	Bahamas Chimaera	LC	732–1506	88.1	–	–	Weigmann 2016; FLMNH 2019
	<i>Chimaera buccanigella</i>	Clerkin, Ebert & Kemper, 2017	Dark-mouth Chimaera	DD	495–960	86	–	–	Clerkin et al. 2017
	<i>Chimaera carophila</i>	Kemper, Ebert, Naylor & Didier, 2014	Brown Ghostshark	LC	846–1350	103.5	–	–	Weigmann 2016
	<i>Chimaera cubana</i>	Howell Rivero, 1936	Cuban Chimaera	LC	180–1050	80.3	–	–	Benavides et al. 2014; Weigmann 2016
	<i>Chimaera didierae</i>	Clerkin, Ebert & Kemper, 2017	Falkor Chimaera	DD	1000–1100	82.5	–	–	Clerkin et al. 2017
	<i>Chimaera fulva</i>	Didier, Last & White, 2008	Southern Chimaera	LC	780–1095	118.7	18; 37	–	Bell 2012; Weigmann 2016
<i>Chimaera jordani</i>	Tanaka, 1905	Jordan's Chimaera	DD	716–780	93	–	–	Nakabo 2013	
<i>Chimaera lignaria</i>	Didier, 2002	Carpenter's Chimaera	LC	400–1800	142	33; 40	–	Bell 2012; Weigmann 2016	

<i>Chimaera macrospina</i>	Didier, Last & White, 2008	Longspine Chimaera	LC	435–1300	103.4			–	Weigmann 2016
<i>Chimaera monstrosa</i>	Linnaeus, 1758	Rabbitfish	VU A2bd	50–1742	119	11.2; 30	21.7	–	Calis et al. 2005; Weigmann 2016
<i>Chimaera notafriicana</i>	Kemper, Ebert, Compagno & Didier, 2010	Cape Chimaera	LC	680–1000	93			–	Kemper et al. 2010; Weigmann 2016
<i>Chimaera obscura</i>	Didier, Last & White, 2008	Shortspine Chimaera	LC	450–1080	95.1			–	Didier 2008; Weigmann 2016
<i>Chimaera ogilbyi</i>	Waite 1898	Ogilby's Ghostshark	NT	139–872	104	28; 41	18.6	–	Bell 2012; Finucci et al. 2018
<i>Chimaera opalescens</i>	Luchetti, Iglésias & Sellos, 2011	Opal Chimaera	LC	800–1975	109.8			–	Weigmann 2016; Freitas et al. 2017
<i>Chimaera orientalis</i>	Angulo, López, Bussing & Murase, 2014	Eastern Pacific Black Chimaera	DD	560–1138	85.8			–	Weigmann 2016
<i>Chimaera owstoni</i>	Tanaka, 1905	Owston's Chimaera	DD	650–900	80			–	Nakabo 2013
<i>Chimaera panthera</i>	Didier, 1998	Leopard Chimaera	LC	327–1020	129			–	Weigmann 2016
<i>Chimaera phantasma</i>	Jordan & Snyder, 1900	Silver Chimaera	VU A2d	20–962	110		18.6	–	Weigmann 2016
<i>Chimaera willwatchi</i>	Clerkin, Ebert & Kemper, 2017	Seafarer's Ghostshark	DD	89–1365	97			–	Clerkin et al. 2017
<i>Hydrolagus affinis</i>	(de Brito Capello, 1868)	Smalleyed Rabbitfish	LC	293–2909	147			–	Weigmann 2016
<i>Hydrolagus africanus</i>	(Gilchrist, 1922)	African Rabbitfish	LC	303–1570	98.4			–	Weigmann 2016
<i>Hydrolagus alberti</i>	Bigelow & Schroeder, 1951	Gulf Chimaera	LC	328–1470	100	9–19; 12–23	–	–	Weigmann 2016; Hannan 2016
<i>Hydrolagus alphas</i>	Quaranta, Didier, Long & Ebert, 2006	Whitespot Ghostshark	LC	630–907	48			–	Weigmann 2016
<i>Hydrolagus barbouri</i>	(Garman, 1908)	Ninespot Chimaera	DD	250–1100	86			–	Weigmann 2016
<i>Hydrolagus bemisi</i>	Didier, 2002	Pale Ghostshark	LC	400–1100	1115	Attempted but no estimates given	–	–	Francis & Ó Maolagáin, 2000; Weigmann 2016

	<i>Hydrolagus colliei</i>	(Lay & Bennett, 1839)	White-spotted Chimaera	LC	0–1029	60	14; 21	–	King & McPhie 2015; Weigmann 2016
	<i>Hydrolagus erithacus</i>	Walovich, Ebert & Kemper, 2017	Robin's Ghostshark	DD	470–1000	140		–	Walovich et al. 2017
	<i>Hydrolagus homonycteris</i>	Didier, 2008	Black Ghostshark	LC	400–1450	108.5		–	Weigmann 2016
	<i>Hydrolagus lusitanicus</i>	Moura, Figueiredo, Bordalo-Machado, Almeida & Gordo, 2005	Portuguese Chimaera	LC		1600	117.7	–	Weigmann 2016
	<i>Hydrolagus macrophthalmus</i>	de Buen, 1959	Bigeye Chimaera	LC	300–1370	63.6		–	Jew et al. 2019
	<i>Hydrolagus marmoratus</i>	Didier, 2008	Marbeled Ghostshark	LC	548–995	80.1		–	Weigmann 2016
	<i>Hydrolagus matallanasi</i>	Soto & Vooren, 2004	Striped Rabbitfish	VU A2d	416–736	69.5		18.6	Weigmann 2016
	<i>Hydrolagus mccoskerii</i>	Barnett, Didier, Long & Ebert, 2006	Galapagos Ghostshark	LC	396–506	38.1		–	Weigmann 2016
	<i>Hydrolagus melanophasma</i>	James, Ebert, Long & Didier, 2009	Eastern Pacific Black Ghostshark	LC	30–1800	128		–	James et al. 2009; Araya et al. 2020
	<i>Hydrolagus mirabilis</i>	(Collett, 1904)	Large-eyed Rabbitfish	LC	450–2058	84		–	Weigmann 2016
	<i>Hydrolagus mitsukurii</i>	(Jordan & Snyder, 1904)	Mitsukurii's Chimaera	NT	325–830	79		15 (longevity) Attempted	15 Tseng, 2010; Weigmann 2016
	<i>Hydrolagus novaezealandiae</i>	(Fowler, 1911)	New Zealand Chimaera	LC	25–950	96		but no estimates given	Francis & Ó Maolagáin, 2000; Weigmann 2016
	<i>Hydrolagus pallidus</i>	Hardy & Stehmann, 1990	Pale Chimaera	LC	883–2650	137.6		–	Weigmann 2016
	<i>Hydrolagus purpurescens</i>	(Gilbert, 1905)	Purple Chimaera	LC	920–1951	138		–	Weigmann 2016
	<i>Hydrolagus trolli</i>	Didier & Séret, 2002	Pointy-nosed Blue Chimaera	LC	612–2000	120.4		–	Weigmann 2016
Rhinochimaeridae	<i>Harriotta haeckeli</i>	Karrer, 1972	Smallspine Chimaera	LC	1114–2603	74		–	Weigmann 2016
	<i>Harriotta raleighana</i>	Goode & Bean, 1895	Narrownose Chimaera	LC	350–2600	120		–	Weigmann 2016

<i>Neoharriotta carri</i>	Bullis & Carpenter, 1966	Caribbean Chimaera	NT	90–600	120		15	Weigmann 2016; Garcia et al. 2017; O. Lasso-Alcalá, unpubl. data, 2019
<i>Neoharriotta pinnata</i>	(Schnakenbeck, 1931)	Sicklefin Chimaera	NT	150–760	147		15	Weigmann 2016; Diez & Mugerza 2017
<i>Neoharriotta pumila</i>	Didier & Stehmann, 1996	Dwarf Chimaera	LC	100–1120	72.8		–	Weigmann 2016
<i>Rhinochimaera africana</i>	Compagno, Stehmann & Ebert, 1990	Paddlenose Chimaera	LC	430–1450	150		–	Weigmann 2016
<i>Rhinochimaera atlantica</i>	Holt & Byrne, 1909	Atlantic Longnose Chimaera	LC	400–1849	141		–	Weigmann 2016
<i>Rhinochimaera pacifica</i>	(Mitsukuri, 1895)	Pacific Longnose Chimaera	LC	191–1290	130	21; 25	–	Bell 2012; Weigmann 2016

1085 Table 2. Management implementations for ghost sharks where species-specific management is available. Country codes: Argentina (ARG), Chile
 1086 (CHL), South Africa (ZAF), Australia (AUS), and New Zealand (NZL).

1087

Management action	<i>Callorhynchus callorhynchus</i>	<i>Callorhynchus capensis</i>	<i>Callorhynchus milii</i>	<i>Hydrolagus bemisi</i>	<i>Hydrolagus novaezealandiae</i>
Gear restrictions	X (ARG, CHL)	X (ZAF)			
Temporal closures	X (ARG)				
Recreational (daily) catch limits	X (ARG)	X (ZAF)	X (AUS, NZL)		
Commercial (operational) catch limits	X (ARG)				
Total applied effort (TAE)		X (ZAF)			
Limited entry	X (CHL)	X (ZAF)			
Spatial closures		X (ZAF)			
Individual transferable quotas (ITQs)			X (AUS, NZL)	X (NZL)	X (NZL)

1088