

1 Running Title:

2 Non-marine elasmobranchs review

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4 Categorising use patterns of non-marine environments by elasmobranchs and a review of their
5 extinction risk

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27

28 **Abstract**

29 As the state of non-marine aquatic environments (freshwater and estuarine
30 environments with salinities ≤ 30 ppt) continues to decline globally, there is increasing concern
31 for elasmobranchs (sharks and rays) that use them at critical stages of their life history. Due to
32 a range of impediments including unresolved taxonomy, lack of fisheries data, and poor public
33 perception, our knowledge of elasmobranchs in non-marine environments has lagged behind
34 marine species. Here, we refine previous categorisations of elasmobranchs that occur in non-
35 marine environments by reviewing the timing and duration of freshwater (≤ 5 ppt) and/or
36 estuarine (>5 to ≤ 30 ppt) habitat use throughout each species' life history. We identified five
37 categories describing elasmobranchs in non-marine environments: 1) freshwater obligates (43
38 spp.); 2) euryhaline generalists (10 spp.); 3) estuarine generalists (19 spp.); 4) non-marine
39 transients; 5) non-marine vagrants. Criteria for species inclusion is provided for all categories,
40 and species lists are presented for categories 1–3. Euryhaline and estuarine generalists had the
41 highest number of species that are threatened with extinction on the IUCN Red List of
42 Threatened Species (50% and 65%, respectively), and freshwater obligate species have a very
43 high portion of Data Deficient and Not Evaluated species (77%). The refinement of non-marine
44 elasmobranch categories will aid in our understanding of elasmobranchs that occur in non-
45 marine environments, helping facilitate more strategic conservation and management
46 initiatives. Research on the biology of elasmobranchs and their human interactions in non-
47 marine environments are suggested, as this will lead to better availability of information for
48 conservation and management.

49

50 **Key words:** Conservation; Elasmobranchs; Estuaries; Euryhaline; Freshwater; Management

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56 **Introduction**

57 Elasmobranchs (sharks and rays) that use non-marine environments (salinities < 30 ppt,
58 McLusky 1993) during critical stages of their life history are one of the most poorly understood
59 and threatened groups of vertebrates (Compagno and Cook 1995; Dulvy et al. 2014).
60 Elasmobranchs occurring in these environments may be obligate freshwater species or
61 euryhaline species (Lucifora et al. 2015). Approximately 56 (~5%) of all elasmobranch species
62 are known to regularly occur in low salinity environments (Lucifora et al. 2015). Most of these
63 species are rays from the families Potamotrygonidae (neotropical stingrays) and Dasyatidae
64 (stingrays) that reside exclusively in freshwater throughout their entire life history. Meanwhile,
65 only a few elasmobranchs are euryhaline, able to transition between marine and freshwater
66 environments for prolonged periods e.g. bull shark (*Carcharhinus leucas*) and largetooth
67 sawfish (*Pristis pristis*). Almost all freshwater and euryhaline elasmobranchs occur across
68 tropical latitudes with a few species also extending marginally into temperate zones such as *C.*
69 *leucas* and green sawfish (*Pristis zijsron*), while the Maugean skate (*Zearaja maugeana*) occurs
70 exclusively in temperate waters of Tasmania, Southeastern Australia (Compagno 2002;
71 Compagno and Cook 1995).

72

73 With most elasmobranchs that use non-marine environments occurring in tropical latitudes,
74 they have been exposed to a range of anthropogenic pressures associated with the higher levels
75 of human population growth in tropical regions (Collen et al. 2014; Smith 2003). Fisheries
76 pressure (mostly commercial but also artisanal and recreational) is the primary threat to
77 elasmobranchs in freshwater and estuarine environments (Kyne and Feutry 2017; Lucifora et
78 al. 2015; Lucifora et al. 2017). River engineering, habitat destruction, and pollution also pose
79 considerably greater threat to elasmobranchs in non-marine environments, compared to species
80 that use only marine environments (Compagno and Cook 1995; Dulvy et al. 2014; Lucifora et
81 al. 2016; White and Kyne 2010). Elasmobranchs are inherently susceptible to population

82 decline due to low productivity, which includes slow growth, late age-at-maturity, longevity,
83 low fecundity, low natural mortality, and often protracted breeding cycles (Cortés 2000). These
84 ‘slow’ life history traits are particularly unfavourable in spatially-confined freshwater and
85 estuarine environments, where population size is inherently constrained (Ballantyne and
86 Robinson 2010).

87

88 Temporally, freshwater and estuarine environments are much more variable in their physical
89 parameters (e.g. temperature, salinity, turbidity, dissolved oxygen, water flow) compared to
90 marine environments (McLusky 1993; Pinto and Marques 2015). Furthermore, it is likely that
91 fluctuations in these physical parameters will become more frequent and severe with climate
92 change (Lennox et al. 2019). Unlike their marine counterparts, elasmobranchs in freshwater
93 and estuarine environments cannot always readily escape unfavourable environmental and
94 anthropogenic pressures (Compagno and Cook 1995). Nor have they evolved strategies such
95 as rapid growth, short life cycles or the ability to aestivate or breath air in order to outlast
96 unfavourable environmental conditions like some teleost fishes (Compagno 2002).

97

98 In recent decades, significant concern has been raised about the status of freshwater and
99 euryhaline elasmobranch populations (Dulvy et al. 2014; Lucifora et al. 2015). Many species
100 have become increasingly threatened and rapid local extinctions have been observed in regions
101 of dense human population (Dulvy et al. 2014; Dulvy et al. 2016; Moore 2017). Of the 33
102 freshwater species identified in Dulvy et al. (2014) (a grouping which includes obligate
103 freshwater and euryhaline species), 12 are listed as threatened with extinction on the
104 International Union for the Conservation of Nature (IUCN) Red List of Threatened Species
105 (‘the Red List’) (IUCN 2018). The conservation status of euryhaline elasmobranchs indicates
106 they have the highest susceptibility to negative anthropogenic pressures. This likely because
107 they move between freshwater and marine environments during their life history, thereby
108 increasing potential for exposure across a range of environments (Compagno and Cook 1995).

109 Sawfishes (Pristidae) for example, are one of the most threatened marine vertebrate families
110 with all five species assessed as either Critically Endangered or Endangered on the IUCN Red
111 List (Dulvy et al. 2016). Similarly, river sharks of the genus *Glyphis*, also assessed as either
112 Critically Endangered or Endangered, have seemingly disappeared from river systems
113 throughout Asia and are now only reliably found in northern Australia (Li et al. 2015). Both
114 these groups of species are known to utilise non-marine environments during their life histories,
115 and high exposure to anthropogenic pressures has been attributed to their threatened status.

116

117 Conservation and management of freshwater and euryhaline elasmobranch populations is
118 impeded by several factors (Compagno 2002). Firstly, a lack of information on their
119 exploitation by fisheries targeting more commercially viable crustacean and teleost species
120 (Compagno and Cook 1995). Secondly, artisanal and subsistence fisheries dominate regions
121 where most species occur, and collection of biological data at fish landing and market sites can
122 be difficult as shark and ray landings are often quickly consumed, finned, and portioned for
123 sale (Appleyard et al. 2018; Feitosa et al. 2018; Fluet-Chouinard et al. 2018). Thirdly, poor
124 taxonomic resolution within key taxa (i.e. Dasyatidae, *Glyphis*, Potamotrygonidae, and
125 Pristidae), has impeded collection of reliable biological data and confused species distributions
126 (both geographically and their temporal occurrence in freshwater, estuarine, and marine
127 environments) (Compagno and Cook 1995; Faria et al. 2013; Rosa et al. 2010; White et al.
128 2017). Lastly, elasmobranchs have had a poor reputation in non-marine environments as they
129 can be dangerous to humans and cause damage to fishing gear, generally reducing interest in
130 implementing conservation and management (Castello 1975; da Silva et al. 2015; Thorson
131 1987). Due to these factors, biological research on elasmobranchs in non-marine environments
132 has generally lagged behind studies on their marine counterparts.

133

134 The adaptation, distribution, duration, and timing of use of freshwater, estuarine, and marine
135 environments throughout the life history of most species remain poorly understood. Apart from

136 the obligate freshwater potamotrygonid rays, there is generally a poor understanding on which
137 species remain in a freshwater environment throughout their life history and those that are
138 euryhaline, only occurring in freshwater during particular stages of their life history. Similarly,
139 for estuarine environments, a number of species are commonly observed in lower salinity
140 waters of estuaries but are also often observed in marine environments. There is presently a
141 lack of distinction between species that routinely use estuarine environments for critical parts
142 of their life history (e.g. nursery areas) and predominantly marine species that may only be
143 transient and are otherwise intolerant of prolonged exposure to non-marine salinities
144 (Compagno and Cook 1995; Last 2002). Due to the heightened susceptibility of elasmobranchs
145 to adverse anthropogenic and environmental pressures in non-marine environments, it is
146 important to understand how different species are temporally distributed in these environments
147 throughout their life history. Identifying species, or groups of species, that may be more
148 susceptible to anthropogenic threats based on their frequency of occurrence and reliance on
149 particular non-marine environments will lead to more integrated and strategic conservation and
150 management regimes (Compagno and Cook 1995; Simpfendorfer et al. 2011a).

151

152 Here, we aim to review elasmobranchs that are known to occur in non-marine environments
153 and identify those species that require a non-marine environment within their life history from
154 those that do not. Previous categorisations of elasmobranchs that occur in non-marine
155 environments (i.e. Compagno and Cook 1995; Last 2002; Martin 2005; Thorson et al. 1983)
156 are refined with updated categories and species lists compiled. The present IUCN Red List
157 category of species that require a non-marine environment within their life history are also
158 compiled and future research directions are discussed.

159

160 **Previous categorisations of elasmobranchs found in non-marine environments**

161

162 There have been limited attempts to systematically categorise the elasmobranch species
163 known from non-marine environments (i.e. Compagno and Cook 1995; Last 2002; Martin
164 2005; Thorson et al. 1983). The first attempt was proposed by Thorson et al. (1983) who
165 presented two sets of criteria; the first criterion ranked species into eight categories based on
166 their osmoregulatory ability to alter urea concentrations within their blood in response to the
167 ambient environment. The second criterion related to the functionality of the rectal gland. In
168 the absence of detailed studies of many species' physiology, only a small number of species
169 could be accurately assigned to a category, and most of these were Atlantic species based on
170 Thorson's earlier works (e.g. Gerst and Thorson 1977; Thorson 1976; Thorson 1983; Thorson
171 et al. 1973; Thorson et al. 1978). Furthermore, these two categorisation systems were
172 exceptionally convoluted in describing euryhaline elasmobranchs, with the 'urea' criteria
173 suggesting six, and the 'rectal gland' criteria three, different categories to which the
174 osmoregulatory physiology of euryhaline elasmobranchs may be placed. These systems also
175 lacked a life history context to the habitat use and reproductive requirements of species within
176 each category, rather only stating their physiological osmoregulatory tolerance to lower
177 salinities. This restricted their use and application to conservation and management as these
178 categorisations did not explain the importance that particular non-marine environments may
179 have to the life history of the elasmobranchs that occur in them.

180

181 The most widely accepted categorisation of freshwater and euryhaline elasmobranchs, was
182 proposed by Compagno and Cook (1995). They divided the known and 'thought to be'
183 freshwater and euryhaline species at the time, into four categories:

- 184 1. obligate freshwater: *species confined to freshwater;*
- 185 2. euryhaline: *species that readily penetrate far into freshwater but also regularly occur in*
186 *inshore marine waters;*
- 187 3. brackish-marginal: *species confined to brackish water only; and,*

188 4. marginal: *coastal shelf species that penetrate freshwater in estuaries or river mouths but*
189 *were not found far from the sea.*

190

191 Assignment of species into these categories was based on distribution and regularity of
192 occurrence data rather than physiological ability specific to certain osmoregulatory features as
193 used by Thorson et al. (1983). This provided a vastly improved system for categorising
194 freshwater and euryhaline elasmobranchs as species with little biological study could be
195 categorised based on their occurrence within particular salinity ranges alone. Compagno and
196 Cook (1995) listed 29 obligate freshwater species, 14 euryhaline species, and 1 brackish
197 marginal species, and stated there were “*at least 26 marginal and possibly marginal species,*
198 *with considerable uncertainty to which category some species belong to*” (p.66).

199

200 With the paucity of life history information and unresolved taxonomic issues at the time, clear
201 distinctions between categories, their criteria, and the species that fit them could not be made.
202 Like Thorson et al. (1983) these categorisations lacked a life history context to the habitat use
203 and reproductive requirements of species within each category. For example, the criteria given
204 for the ‘*Euryhaline*’ category does not infer a reproductive or ecological context to a particular
205 non-marine environment within their life history, rather it only implies that populations of these
206 species are distributed across marine and freshwater environments. Meanwhile, the criteria
207 given for the ‘*Marginal*’ category might imply these species are also euryhaline but do not
208 venture as far up rivers as the ‘*Euryhaline*’ species do. Within the species listed in these two
209 categories by Compagno and Cook (1995) and later by Compagno (2002), Last (2002), and
210 (Martin 2005), there was no clarity provided between species that use a freshwater and/or
211 brackish (estuarine) environment during their life history and those which may only transiently
212 occur in lower salinity waters. Furthermore, Compagno and Cook (1995) did not define the
213 salinity ranges for freshwater, brackish, and marine environments.

214

215 The resulting confusion was demonstrated by Martin (2005), whose refinements largely
216 corresponded with those originally proposed by Compagno and Cook (1995). The attempt by
217 Martin (2005) to modify the definition of ‘*Brackish marginal*’ to “...*common in brackish to*
218 *freshwater habitats...*” (p.1052) suggests that species in this group could also be classified as
219 ‘*Euryhaline*’ as most species listed in this category are predominately marine. The
220 categorisations presented by Martin (2005) resulted in three categories with criteria implying
221 that species could be found in freshwater to marine environments with still limited ecological
222 or reproductive context provided to distinguish between species in each category. Aside from
223 species that exclusively reside in freshwater, there is currently no clear distinction between how
224 different groups of species use non-marine environments during their life history. This makes
225 consistent and accurate allocation of species to categories difficult.

226

227 Since the publication of the above-mentioned categorisation schemes, there have been notable
228 studies on the occurrence, physiology, taxonomy, reproductive biology, and ecology for
229 elasmobranch species that occur in non-marine environments. Some of these studies have
230 provided life history (e.g. Charvet-Almeida et al. 2005; Charvet et al. 2018; Morgan et al.
231 2011), population structure and distribution (e.g. Faria et al. 2013; Lucifora et al. 2016; White
232 et al. 2015), movement (e.g. Almeida et al. 2009; Collins et al. 2008; Heupel et al. 2010), and
233 osmoregulatory physiology (e.g. Pillans et al. 2005; Tam et al. 2003) information for many of
234 the species originally listed in each category by Compagno and Cook (1995). Given this
235 improvement in the availability of relevant data, better differentiations between how some
236 elasmobranchs use non-marine environments throughout critical parts of the life history can
237 now be made. The categories originally proposed by Compagno and Cook (1995) can be refined
238 to improve the accuracy, precision and consistency between categories and their criteria. A
239 revised, more informed, categorisation would aid in our understanding of elasmobranchs that
240 occur in non-marine environments and will help facilitate more strategic conservation and
241 management initiatives.

242

243 **Refinement of categories**

244 Here we refine the categorisation of elasmobranchs that occur in non-marine
245 environments proposed by Compagno and Cook (1995) by considering how different groups
246 of elasmobranch species interact with non-marine environments throughout critical parts of
247 their life history. Previous listings of elasmobranchs in non-marine environments (Compagno
248 2002; Compagno and Cook 1995; Last 2002; Martin 2005), taxonomic guides (e.g. Ebert et al.
249 2013; Last et al. 2016a; Last et al. 2016b), and primary literature were used to identify species
250 that are known or suspected to use non-marine environments. Following this, primary literature
251 and IUCN Red List assessments (IUCN, 2018) were reviewed to determine their non-marine
252 habitat use (or not). Species were then grouped into categories based on the type of environment
253 (i.e. freshwater, estuarine, or marine, Table 1) that critical life history stages including
254 parturition, nursery areas, and mating were identified to occur in. The distribution of each
255 species were then grouped into eight continental regions including North and Central America,
256 South America, West Africa, East Africa, The Arabian/Persian Gulf (hereafter referred to as
257 ‘The Gulf’), South Asia, Southeast Asia, and Oceania (regions are defined in Fig. 1). The IUCN
258 Red List category of each species was also collated to assess trends in extinction risk for each
259 non-marine environment use category and continental region.

260

261 Five categories describing elasmobranchs in non-marine environments are proposed: 1)
262 freshwater obligates; 2) euryhaline generalists; 3) estuarine generalists; 4) non-marine
263 transients; and, 5) non-marine vagrants (Table 2).

264

265 *Freshwater obligates*

266 Freshwater obligate species complete their entire life history in freshwater.
267 Potamotrygonid rays of South America (36 spp.) are the dominant family, while seven species
268 of dasyatid rays inhabiting the tropical rivers of Southeast Asia and West Africa are also

269 included (Table 3). These dasyatids spend their entire life history in freshwater, but unlike
270 potamotrygonids maintain low levels of urea as an osmolyte within their blood chemistry
271 (Ballantyne and Robinson 2010; Ip et al. 2005; Otake et al. 2005; Tam et al. 2003). The loss of
272 the ability to synthesise and retain urea in potamotrygonids is presumably due to their
273 prolonged historic isolation within South American river basins (Thorson et al. 1983). Some of
274 the dasyatids listed here may make irregular excursions outside of freshwater although there is
275 little evidence they persist or carry out part of their life history in higher salinity waters. For
276 example, white-edge whipray (*Fluvitrygon signifer*) has been demonstrated to survive in
277 brackish water (20 ppt) for at least two weeks in the laboratory, though has not been observed
278 outside of freshwater environments in the wild (Tam et al. 2003; Wong et al. 2013).
279 Furthermore, some potamotrygonid species including the ocellate river stingray (*Potamotrygon*
280 *motoro*), smooth-back stingray (*Potamotrygon orbignyi*), and the whitespotted freshwater
281 stingray (*Potamotrygon scobina*) are reported occasionally in estuarine water at the mouth of
282 the Amazon River (Almeida et al. 2009). These movements are presently only considered to be
283 transient and there is limited evidence that populations of these species use environments other
284 than freshwater at all critical stages of their life history hence, they are here categorised as
285 obligate to freshwater systems.

286

287 *Euryhaline generalists*

288 There are 10 species of elasmobranchs that fit the criteria of euryhaline generalist. Four
289 are carcharhinid sharks including *C. leucas* and all extant members of the genus *Glyphis*, and
290 six are rays including *P. pristis*, Bennett's stingray (*Hemitrygon bennettii*), two *Hypanus* spp.
291 and two *Urogymnus* spp. (Table 4). Generally, adults of these species may be encountered in
292 any salinity environment, although juveniles are typically found in very low salinities or
293 freshwater (Morgan et al. 2011; Pillans et al. 2009; Thorburn et al. 2003; Thorburn and
294 Rowland 2008). Populations of *P. pristis* and *C. leucas* in the Río San Juan region of Central
295 America may occupy the freshwater lacustrine environment of Lake Nicaragua for long periods

296 of time throughout their life history (Thorson 1971; Thorson 1982). Similarly, a population of
297 Atlantic stingray (*Hypanus sabinus*) occurs exclusively in the freshwater environments of Lake
298 Jesup, Florida, USA (Piermarini and Evans 1998), while other populations of this species use
299 marine environments of the Northwest Atlantic, frequenting freshwater rivers on a seasonal
300 (Schwartz 1995), or may persist year-round in estuaries and marine environments (Ramsden et
301 al. 2017), depending on latitude. *Hemitrygon bennettii* has not been observed in freshwater in
302 South Asia (Muktha et al. 2019), although this species is reported in the freshwaters of the Pearl
303 River in China (Zhang et al. 2010). All 10 species occur in tropical and subtropical waters with
304 the exception of *C. leucas*, which also extends into temperate regions. Juveniles of euryhaline
305 generalists are rarely observed in marine environments, as they tend to move upstream into
306 freshwater or lower salinity environments following birth (Pillans et al. 2005; Pillans et al.
307 2009; Thorson 1982; Thorson et al. 1973). This may be a facultative behaviour related to
308 predator avoidance away from large coastal sharks, decreased ecological competition from
309 other marine species, or possible preference of physical environmental conditions such as light,
310 temperature, and salinity (Simpfendorfer et al. 2005; Whitty et al. 2008; Whitty et al. 2017;
311 Whitty et al. 2009). Inversely, juveniles of the longnose stingray (*Hypanus guttatus*) occur in
312 higher salinity estuarine and coastal marine environments, while only adults occur in both
313 freshwater and marine environments (Barrios-Garrido et al. 2017; Thorson 1983; Yokota and
314 Lessa 2007). There is no indication that juveniles of euryhaline generalists are physiologically
315 restricted to particular salinity environments. Studies on *C. leucas* in the Brisbane River, eastern
316 Australia, indicated that juveniles tolerate a significantly higher osmotic pressure gradient in
317 freshwater compared to marine, despite their preferential use of lower salinity environments as
318 nursery areas (Pillans and Franklin 2004; Pillans et al. 2005). In the Caloosahatchee River,
319 Florida, USA, acoustic tracking of *C. leucas* indicated that juveniles migrate up and down
320 stream presumably to reside within particular salinity ranges, although this may have
321 unidentified ecological benefits (Heupel and Simpfendorfer 2008; Heupel et al. 2010;
322 Simpfendorfer et al. 2005). However, *C. leucas* is noted to occupy lower salinity areas of the

323 Caloosahatchee River compared to other elasmobranchs that frequent higher salinity areas
324 closer to the river mouth e.g. smalltooth sawfish (*Pristis pectinata*) and the bonnethead shark
325 (*Sphyrna tiburo*) (Heupel et al. 2006; Simpfendorfer et al. 2011b). Therefore, unlike other
326 elasmobranchs that may frequently occur in estuarine areas, species listed here as euryhaline
327 generalists are those that additionally occur in low salinity areas of estuaries and freshwater at
328 some point during their life history.

329

330 *Estuarine generalists*

331 Estuarine generalists consist of 19 ray species from five families (Table 5). These
332 species are generally found in low salinity areas of estuaries as juveniles, while adults more
333 typically occur in marine environments. Unlike species of the euryhaline generalist category,
334 estuarine generalist species do not occur in freshwater environments for prolonged periods.
335 This suggests they are unable to physiologically cope with freshwater environments. An
336 example of an estuarine generalist is the mumburarr whipray (*Urogymnus acanthobothrium*).
337 Juveniles of this species have only been recorded in brackish (estuarine) water of rivers in
338 Northern Australia, while large mature individuals have been observed in coastal marine
339 environments around Northern Australia and Papua New Guinea (Last et al. 2016c). Similarly,
340 both the daisy stingray (*Fontitrygon margarita*) and the pearl stingray (*Fontitrygon*
341 *margaritella*) occur in estuarine and shallow inshore environments in heavily fished areas of
342 West Africa, but are not reported in freshwater catches (Compagno and Roberts 1984; Séret
343 1990). Other estuarine generalists such as the tubemouth whipray (*Urogymnus lobistoma*) and
344 *Z. maugeana* may spend their whole life cycle in estuaries, never penetrating into freshwater or
345 marine environments (Manjaji-Matsumoto and Last 2006; Treloar et al. 2017). All pristid
346 species, except *P. pristis*, are estuarine generalists as juveniles are consistently recorded in
347 estuarine nursery areas although adults are generally more frequently observed in marine
348 environments (Morgan et al. 2011; Poulakis et al. 2011; Simpfendorfer et al. 2011b; Taniuchi
349 2002; Thorburn et al. 2008; White et al. 2017). The physiology of species regarded here as

350 estuarine generalist has not been specifically studied and no data exist to explicitly describe the
351 osmoregulatory differences between estuarine generalists and other euryhaline or steno-marine
352 elasmobranchs. Identification of estuarine generalists is potentially clouded by the extensive
353 array of elasmobranchs that may occur in estuarine systems transiently. However, unlike
354 transient species, estuarine generalists are dependent on estuaries for part, or all, of their life
355 history stages.

356

357 *Non-marine transients*

358 Non-marine transients do not directly or consistently use a non-marine environment
359 during their life history. Non-marine transients generally occupy inshore coastal habitats and
360 are often observed in the sheltered marine waters of bays, lagoons, and lower reaches of river
361 systems (Harasti et al. 2017; Salini et al. 1990). Short excursions into lower salinity
362 environments may allow these species to exploit these resources but avoid the osmoregulatory
363 stress induced by prolonged exposure to lower salinities. Non-marine transients include
364 numerous species, mostly from the shark families Carcharhinidae (whaler sharks),
365 Orectolobidae (wobbegongs), Sphyrnidae (hammerhead sharks), Squatinidae (angel sharks),
366 and Triakidae (hound sharks); and the ray families Aetobatidae (pelagic eagle rays),
367 Arhynchobatidae (softnose skates) Dasyatidae, Glaucostegidae (giant guitarfishes),
368 Myliobatidae (eagle rays), Narcinidae (numbfishes), Rhinobatidae (guitarfishes), and
369 Torpedinidae (torpedo rays). For example, juvenile pigeye sharks (*Carcharhinus amboinensis*)
370 are common within and around river and creek outflows throughout tropical Australia and East
371 Africa, although they display avoidance of freshwater during periods of high freshwater-flow
372 and resulting low salinity plumes associated with rainfall (Knip et al. 2011a; Knip et al. 2011b).
373 Although individuals of this species are suspected to enter non-marine environments, data
374 indicate *C. amboinensis* populations do not complete significant periods of their life cycle in
375 non-marine environments as a range of size classes are commonly captured in inshore coastal
376 marine areas (Bass et al. 1973; Cliff and Dudley 1991; Stevens and McLoughlin 1991). Similar

377 habitat use patterns around estuaries have been observed for numerous elasmobranchs
378 including the angular angel shark (*Squatina guggenheim*) (Colonello et al. 2006), lemon shark
379 (*Negaprion brevirostris*) (Yeiser et al. 2008), scalloped hammerhead (*Sphyrna lewini*) (Brown
380 et al. 2016), *S. tiburo* (Heupel et al. 2006), shovelnose guitarfish (*Pseudobatos productus*)
381 (Márquez-Farías 2007), and to a lesser extent, the white shark (*Carcharodon carcharias*)
382 (Harasti et al. 2017). Movement studies on these species indicate that coastal marine habitats
383 adjacent to river outflows are important for particular life stages of non-marine transients as
384 they may provide nursery areas (Harasti et al. 2017; Heupel et al. 2007; Heupel et al. 2006;
385 Martins et al. 2018; Wiley and Simpfendorfer 2007). However, there is presently no evidence
386 that they penetrate lower salinity waters of estuaries for prolonged periods, nor at consistent
387 parts of their life history. Thus, they are considered transient in non-marine environments.

388

389 *Non-marine vagrants*

390 All other marine species that have reported occurrences in non-marine environments
391 and do not fit the criteria of non-marine transient are considered non-marine vagrants. Accounts
392 of vagrancy in elasmobranchs are rarely reported, and furthermore the term ‘vagrant’ has not
393 previously been properly defined within elasmobranch literature. Last (2002) proposed a list of
394 41 species that were categorised as “*Marine species – vagrant in brackish/freshwater*” in
395 Australia, whereby vagrant species were defined in the context of his categorisations as “*marine*
396 *species that are known from but which are rarely recorded from estuaries*” (p. 185–187).
397 However, this definition of vagrant is only applicable to vagrancy in estuaries by marine
398 species, and therefore is not suitable for use in other scenarios of vagrancy. Furthermore, this
399 definition of vagrant by Last (2002) did not capture the key concept of vagrancy, i.e. an
400 individual is found outside the known distribution of its species (Lees and Gilroy 2014; Norton
401 1998). For example Duffy et al. (2017) reported what was likely a single individual whitetip
402 reef shark (*Triaenodon obesus*) observed four times over a 12 month period at reefs in
403 temperate New Zealand, despite the closest known population’s distribution being 598 km

404 away in tropical waters of southern Fiji. Under the definition provided by Last (2002) this
405 account would not fit the term vagrant, although under traditional definitions of the term (i.e.
406 those of other taxa), this is clearly an example of vagrancy. Therefore, in order to avoid present
407 and future confusion around the term within elasmobranch literature, we define vagrant to
408 better encompass all scenarios of vagrancy, and also to provide a definition more comparable
409 with other taxa e.g. birds (Lees and Gilroy 2014), plants (Norton 1998), marine mammals (de
410 Bruyn et al. 2006). Here, we define vagrant as:

411 *An individual found outside of the known distribution of its species, with no apparent*
412 *biological context.*

413 Under this definition, a non-marine vagrant is an individual of a coastal, shelf, or pelagic species
414 that has no identifiable biological association with non-marine environments throughout its life
415 history. The distributions of populations of these species are not considered to extend into, nor
416 be adjacent to non-marine environments, though individuals of these species may very
417 occasionally have anomalous sightings in lower salinities. This contrasts to non-marine
418 transient species, where a) there is an ecological context to their occurrence in non-marine
419 environments; and, b) their distribution is adjacent, or encroaches into, non-marine
420 environments. Factors leading to vagrancy have not been studied specifically for
421 elasmobranchs but likely causes include abnormal weather and ocean current conditions driving
422 species out of their 'normal' marine distribution. Under our present understanding of non-
423 marine vagrant species, the conservation of non-marine environments likely has little
424 importance to their populations.

425

426 **Discussion of categories**

427 This review has identified three categories of elasmobranchs, each of which require a
428 non-marine environment as part of their life history: freshwater obligates, euryhaline
429 generalists, and estuarine generalists. Additionally, two categories of marine species occurring
430 in non-marine environments are defined; non-marine transients and non-marine vagrants. This

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431 refinement builds on the categories originally proposed by Compagno and Cook (1995) to
432 provide clearer distinctions between groups of species that require non-marine environments
433 throughout their life histories. Primarily, clarity has been provided in how species in each
434 category interact with non-marine environments throughout their life history, and the range of
435 their non-marine environment use. The new categorisation also quantifies salinity profiles of
436 each habitat type, allowing species to be more accurately categorised. This categorisation
437 system thus provides an applicable and informative framework for applying conservation and
438 management strategies to elasmobranchs that occur in non-marine environments. For most
439 species however, further information is still required on fundamental aspects of their life history
440 traits, movement and habitat use patterns, and demographic attributes in order to better
441 understand the conservation and management requirements of their populations. Due to the
442 lack of information for some species, or groups of species, these proposed categorisations are
443 intended to provide a ‘testable baseline’ from which our understanding of how elasmobranchs
444 interact with non-marine environments throughout their life histories can improve.

445

446 The categories presented here share some similarities with the guild approach (see Elliott et al.
447 2007; Potter et al. 2015) used to classify teleost fishes interactions with estuaries. However,
448 teleost fishes are vastly more specious than elasmobranchs and they have numerous different
449 life history strategies and trophic roles, each with complex and various arrays of associated
450 habitat use behaviours not observed within elasmobranchs (e.g. planktonic larval phases and
451 semelparity). Furthermore, the physiology of teleost fishes allows them to more easily adapt to
452 non-marine environments throughout various stages of their life history (Ballantyne and
453 Robinson 2010). Collectively, this necessitates a more intricately structured categorisation
454 system to encapsulate all the different ways that teleost fish interact with non-marine
455 environments (Elliott et al. 2007). By contrast, elasmobranchs that occur in non-marine
456 environments are relatively uniform in their life history strategy. They are all live bearing
457 (except for *Z. maugeana*), all produce small litter sizes of well-developed young, reproductive

458 seasonality often spans of several months, they generally have well defined nursery areas, and
459 they occupy similar ecological trophic roles. Therefore, a simpler approach can be taken to
460 categorise their habitat use patterns. While it is true that some elasmobranchs listed in this
461 review could be allocated into existing categories of teleost fishes (e.g. *C. leucas* may be
462 amphidromous using the guild approach of Elliott et al. (2007)), the simpler structure of our
463 categorisation are more compatible with the limited information available for most
464 elasmobranchs that occur in non-marine environments.

465

466 There are still some discrepancies within our present categorisations, and it is likely that a better
467 understanding of these species will result in future alterations and/or subsequent categories.
468 Within the euryhaline generalist category for example, some species have populations that
469 persist in freshwater environments for longer portions of their life history than others. Thorson
470 (1976) noted a range of *P. pristis* size classes, including reproducing females in Lake Nicaragua
471 and considered this freshwater system may support the ecological and reproductive necessities
472 of this species. Similarly, Lake Jesup, Florida, USA, contains a closed freshwater population
473 of *H. sabinus* (Piermarini and Evans 1998). The only factor separating these *P. pristis* and *H.*
474 *sabinus* populations from dasyatids in the freshwater obligate category is that these species also
475 have conspecifics that use and persist in estuarine and marine environments at particular life
476 history stages (Schwartz 1995; Whitty et al. 2017). On the other end of the spectrum in the
477 euryhaline category, *H. guttatus* may require higher salinities in juvenile age classes while only
478 adults seem able to persist in freshwater. Hence, it is plausible that a category of elasmobranchs
479 is included that sits between the potamotrygonid rays that are physiologically obligate to
480 freshwater and euryhaline species that require access to marine environments. Such a category
481 might include species that can complete their whole life history within freshwater, though are
482 still capable of osmoregulation in higher salinities (i.e. non-obligate freshwater species). This
483 category would include the freshwater dasyatids (and possibly some potamotrygonids e.g. *P.*
484 *motoro*) and euryhaline species that have a population(s) that complete their life cycle

485 exclusively within freshwater (i.e. *H. sabinus*). However, considering the information currently
486 available on the environmental distribution and osmoregulatory physiology of these species
487 throughout their life histories, their conservation requirements do not diverge from those of the
488 present freshwater obligate or euryhaline generalist category. Therefore, the dasyatid rays that
489 reside exclusively in freshwater are categorised with the potamotrygonid rays as their
490 conservation and management concerns only freshwater environments. Similarly, euryhaline
491 species with sub-populations that may be able to reside exclusively in freshwater are
492 categorised with other species that occur from freshwater to marine environments as
493 conservation and management of all of the populations of these species concerns environments
494 ranging from freshwater to marine.

495

496 Knowledge gaps in the distribution of species throughout their respective life histories are a
497 common theme in historic and present understanding of elasmobranchs in non-marine
498 environments. The estuarine generalist category for example is a group of rays that have been
499 overlooked in all previous categorisation attempts (Compagno and Cook 1995; Martin 2005;
500 Thorson et al. 1983). These species were regarded as either 'euryhaline' or 'marginal' by
501 Compagno and Cook (1995), although almost no life history and movement information existed
502 on these species at the time. It is only with recent studies that they have been separated here on
503 the basis that populations of these species are noted to consistently use lower salinity waters of
504 estuaries (generally as nurseries) within their life histories e.g. *P. clavata* was previously listed
505 as 'Marginal' by Compagno and Cook (1995) although, presently it is categorised as an
506 estuarine generalist as juveniles are considered to use low salinity estuarine areas as nurseries
507 (Morgan et al. 2011; Peverell 2005). Therefore, the conservation and management of these
508 species concerns estuarine and marine environments. It is likely that the species listing of this
509 category will be subject to changes over time as there is generally a lack of information on the
510 life history and movement patterns of estuarine generalist species (and possible estuarine
511 generalist species not included here e.g. Atlantic chupare (*Styracura schmardae*) and the

512 daggernose shark (*Isogomphodon oxyrinchus*) and furthermore, no studies on their
513 osmoregulatory physiology are presently available.

514

515 **Constraints to elasmobranchs in non-marine environments**

516 From this review, only 72 (5.8%) of total chondrichthyan species (~1250) were
517 identified to use a non-marine environment within their life history. This provides an update on
518 the number of species previously considered to use non-marine environments (freshwater and
519 euryhaline species) by Lucifora et al. (2015) (56 spp.). In comparison, 47–53% of teleost
520 species (~15,000) occur in freshwater either fulltime or at critical parts of their life history (Reid
521 et al. 2013). The potamotrygonid rays of South America are the most speciose family to occur
522 in non-marine environments. They adapted to freshwater by vicariant processes, following
523 marine incursions on the South American continent, and have subsequently speciated
524 throughout many of South America's northern and central river systems (Kirchhoff et al. 2017).
525 However, it is less clear what factors have led a small number of dasyatid rays to colonise
526 freshwater on differing continents and why so few chondrichthyan species have adapted to use
527 non-marine environments in general.

528

529 The higher incidence of teleost species adapting to non-marine environments is likely due to
530 differences in osmoregulatory physiology between chondrichthyans and teleosts that originate
531 from their marine origins (Ballantyne and Robinson 2010). Unlike teleosts, chondrichthyans in
532 marine environments regulate their osmotic balance through the retention of nitrogenous
533 compounds (urea and tri-methyl amine oxide [TMAO]). This increases their blood osmolarity
534 to that of salt water reducing their requirement to actively intake water (Thorson et al. 1973).
535 While this strategy of osmo-conformation through retention of nitrogenous compounds is well
536 suited to marine environments, it results in a significantly higher net metabolic offset in
537 maintaining homeostasis in lower salinities (Pillans and Franklin 2004; Pillans et al. 2005;
538 Thorson et al. 1973). Despite this offset, euryhaline generalist and estuarine generalist species

539 (and possibly freshwater obligate dasyatid rays) still use non-marine environments at critical
540 parts of their life history.

541

542 A pattern that has emerged from the present review is that most euryhaline and estuarine
543 generalist species tend to occur at their lowest salinity environment as juveniles. Nursery areas
544 are important for most elasmobranch species (Heupel et al. 2007; Martins et al. 2018). They
545 generally increase survivorship and fitness of juvenile age classes through decreased predation
546 and offer beneficial abiotic and biotic conditions and features (Heupel et al. 2007). Typical
547 elasmobranch nursery habitats include shallow coastal inshore areas, embayments, river
548 mouths, seagrass and algae beds, coastal lagoons and rocky/coral reefs (Martins et al. 2018).
549 However, many elasmobranch species may co-occur within these habitats (Castro 1993;
550 Simpfendorfer and Milward 1993) and interspecific competition may be high (Heupel et al.
551 2019; Kinney et al. 2011). Thus, species that can access nursery environments further up rivers
552 eliminate interspecific competition for resources and may lower predation risk, thereby
553 resulting in higher survivorship than if they persisted around river mouths or coastal inshore
554 areas. This may have been a driving factor in the historic colonisation of freshwater and
555 estuarine environments by elasmobranchs.

556

557 Ballantyne and Robinson (2010) suggested three stages of freshwater colonisation from marine
558 environments by elasmobranchs: i) estuarine species transiently enter freshwater; ii) species
559 remain in freshwater for prolonged periods (or their whole life) though still maintain functional
560 osmoregulatory organs; and, iii) species reside in freshwater exclusively and lose the ability to
561 osmoregulate in higher salinities. These stages of evolutionary colonisation match well with
562 the categories formulated in this review. Estuarine generalist species fit well with stage i and
563 the potamotrygonid rays represent stage iii, while species fitting the definition of stage ii
564 include the euryhaline generalist species and also dasyatid rays from the freshwater obligate
565 category. The observation that estuarine and euryhaline species tend to occur in lower salinity

566 areas as juveniles, supports the model of gradual colonisation of freshwater proposed by
567 Ballantyne and Robinson (2010). It is reasonable that over time juveniles may adapt to
568 persisting in these lower salinities for longer periods if immediate ecological needs are met.
569 However, high variability in physical parameters of non-marine environments create challenges
570 for elasmobranchs with their prolonged life histories (Compagno and Cook 1995; Frisk et al.
571 2001). Slow growth, late ages of sexual maturation, and small litters of live young (only a single
572 elasmobranch with life history stages in non-marine environments, *Z. maugeana*, is oviparous
573 (Treloar et al. 2017)) make elasmobranchs susceptible to density-independent environmental
574 factors such as periods of drought and flooding, or adverse changes in water quality associated
575 with sporadic flow regimes (Lozano et al. 2019; Mills and Mann 1985). Only 29 species appear
576 to occur in estuarine environments for prolonged periods within their life history. Furthermore,
577 *U. lobistoma* and *Z. maugeana* are the only species that reside solely within estuaries for the
578 duration of their life histories. The small number of elasmobranchs identified in this review that
579 persist in estuaries for a life history stage supports the suggestion of Kirchhoff et al. (2017) that
580 estuarine waters are an evolutionary bottleneck in elasmobranch adaptation to freshwater from
581 marine environments. Once they have colonised freshwater environments, Kirchhoff et al.
582 (2017) suggest these species actually have speciation rates equal to their marine counterparts.

583

584 **Conservation status and distribution**

585 Elasmobranch populations have declined globally due to adverse anthropogenic
586 influence and exploitation of aquatic and marine environments (Davidson et al. 2016; Dulvy et
587 al. 2014). Freshwater and estuarine environments have been subject to an increased intensity
588 of adverse anthropogenic influences due to their accessibility to humans for resource
589 exploitation (Collen et al. 2014; Compagno and Cook 1995; Darwall et al. 2011), and may also
590 be at most risk from climate change impacts (Chin et al. 2010). Consequently, elasmobranchs
591 that use or require access to these environments within their life history have increased
592 susceptibility to population decline.

593

594 Over half (51%) of elasmobranch species within the freshwater obligate, euryhaline, and
595 estuarine generalist categories identified in this review are either assessed as Data Deficient or
596 are Not Evaluated against the IUCN Red List Categories and Criteria (Table 6). Of those with
597 sufficient data available for assessment, 25 (74%) are classified as threatened with extinction
598 (IUCN Red List categories CR, EN, VU). Of the 10 freshwater obligate species that have been
599 assessed, seven are threatened with extinction, raising serious concern for the threatened status
600 of the Data Deficient (16 spp.) and Not Evaluated (17 spp.) species within this category.
601 Estuarine generalist species have the highest proportion of species that are threatened with
602 extinction (65%), while euryhaline generalists contain the most species with an elevated
603 extinction risk with 50% classified as either CR (3 spp.) or EN (2 spp.) (Table 6). Furthermore,
604 an undescribed *Glyphis* species known from Borneo and Bangladesh has only four documented
605 observations (Li et al. 2015) and is also likely to be threatened with extinction due to its
606 occurrence in areas of very high human population density and consequential inshore and
607 riverine fishing pressure.

608

609 The distribution pattern of species that require a non-marine environment within their life
610 history raises concern, as there is a high level of endemism to regions (regions specified in Fig.
611 1). These high rates of endemism reflect the dependency of non-marine environments during
612 the life history of these species as it likely restricts their movement between neighbouring river
613 systems and furthermore, ocean basins to other regions. Overall, 81% (58/72 spp.) are endemic
614 to a region with just 14 species found in two or more regions, and only *C. leucas* and *P. pristis*
615 are found throughout all regions (Fig. 1). Rates of endemism in each category are: 100% (43/43)
616 for freshwater obligates; 40% (4/10) for euryhaline generalists; and, 58% (11/19) for estuarine
617 generalists. It should be noted that *P. motoro* has been introduced into a reservoir in the upper
618 Seletar River in Singapore (Ng et al. 2010), while *P. motoro*, *Potamotrygon leopoldi*, and *P.*
619 *orbignyi* have been reported from freshwater systems in China (Xiong et al. 2015), presumably

620 due to released ‘pets’ from the ornamental industry. These ‘distributions’ have not been
621 included in the present review as the validity of their establishment in these regions is complex
622 (see Ng et al. 1993) and further verification of the viability of their populations is needed (Xiong
623 et al. 2015).

624

625 More than half of all freshwater, euryhaline, and estuarine species occur in South America,
626 although this is mainly comprised of freshwater obligates with 36 (84%) of the world’s 43
627 freshwater species found in the region (Fig. 1). This is largely consistent with global diversity
628 patterns of other freshwater vertebrate taxa (Collen et al. 2014). Other regions of high species
629 density include Southeast Asia (18 spp.) and Oceania (14 spp.). In Southeast Asia and Oceania,
630 44% and 57% of species are endemic, respectively. Although no obligate freshwater species
631 occur in the Oceania region, it is a centre of diversity for euryhaline and estuarine
632 elasmobranchs. Five of the ten euryhaline generalist species listed are found in the region, three
633 of which are endemic. Additionally, nine estuarine generalist species are found here also, with
634 five of these endemic (Fig. 1). West Africa also represents a smaller pocket of freshwater and
635 estuarine elasmobranch diversity, with four of its seven (57%) species endemic, although *P.*
636 *pristis* is possibly extinct in the region (Dulvy et al. 2016). No species are endemic to East
637 Africa, The Gulf, or South Asia.

638

639 The region with the highest imperative for future research is South America. Of the 37 species
640 endemic to the region, 32 remain Data Deficient (15 spp.) or Not Evaluated (17 spp.), and only
641 four potamotrygonid rays have been assessed in a data-sufficient category on the IUCN Red
642 List. However, formal taxonomic descriptions have only been given to some potamotrygonid
643 species in recent years. Oceania, South Asia, and Southeast Asia have the highest
644 concentrations of CR species that occur in non-marine environments. However, the occurrence
645 of *P. pristis* and *P. zijsron* is now irregular in both South Asia (Bineesh et al. 2014) and
646 Southeast Asia (Kyne and Simpfendorfer 2014), and the Ganges river shark (*Glyphis*

647 *gangeticus*) is rarely seen in these regions on a year-to-year basis (Li et al. 2015). Due to dense
648 human population and conjunctly high fisheries pressure, it is likely that only small populations
649 persist in these regions. Oceania supports the highest population densities of CR species that
650 occur in non-marine environments (Morgan et al. 2011; Thorburn et al. 2003; White et al.
651 2017). The tropical coastline of Australia has very low human population density and an
652 extensive array of protected areas. Healthy populations of many euryhaline species that have
653 otherwise been subject to significant range contractions throughout the Indo-Pacific indicate
654 that it is one of the last multi-species elasmobranch conservation strongholds in the world
655 (White and Kyne 2010).

656

657 **Future research directions**

658 The high incidence of increased conservation concern within freshwater, euryhaline,
659 and estuarine species is unsurprising in the face of historic and present anthropogenic and
660 environmental pressures on their populations. However, many of the elasmobranchs listed in
661 the present review remain data deficient with respect to their conservation biology. This
662 impedes the early detection of deteriorating populations and the application of effective
663 management strategies. This can ultimately lead to abrupt local extinctions such as those
664 observed globally for pristids (Dulvy et al. 2016).

665

666 With a trend towards a generally 'positive' public perception of elasmobranchs (Whatmough
667 et al. 2011) there is an increased awareness and imperative to conserve and protect their
668 populations (Simpfendorfer et al. 2011a). Future research needs to focus on key biological and
669 human interaction aspects that will lead to better availability of information for the conservation
670 and management of elasmobranchs in non-marine environments (Simpfendorfer et al. 2011a).
671 Firstly, continued taxonomic resolution and description of new species (e.g. Potamotrygonidae,
672 Dasyatidae, and *Glyphis*) is essential to a fundamental understanding on i) how many species
673 are of conservation and management interest in non-marine environments; and, ii) how these

674 species can be identified (Hutchings 2017). Taxonomic resolution facilitates collection of data
675 on the distribution and relative abundance (e.g. catch-per-unit-effort) of species, in turn
676 informing conservation and management as it allows increases or decreases in population
677 distribution and size to be tracked over time (Moore 2017). Due to an absence of historical
678 fisheries data and difficulties in documenting artisanal and subsistence fisheries catch, further
679 information is needed on the distribution and relative abundance of many freshwater,
680 euryhaline, and estuarine species populations (Fluet-Chouinard et al. 2018).

681

682 There are a great number of data gaps on elasmobranchs that occur in non-marine
683 environments; data on life history (growth rate, longevity, age/length at sexual maturation,
684 fecundity, size-at-birth, maximum size, gestation period, reproductive periodicity, and natural
685 mortality), population structure and connectivity (i.e. population genetics), spatial ecology
686 (long- and short-term movement patterns), and osmoregulatory physiology is needed for many
687 species. Life history data is essential to demographic models that can be used to inform
688 population growth, susceptibility to threats such as fishing mortality or environmental disasters,
689 and population recovery potential (Cortés 1998). This information is vital to understanding the
690 necessity for protection and effective management measures to be put in place. Studies on
691 population structure inform the spatial boundaries of their populations while spatial ecology
692 informs their temporal distribution within and between non-marine environments, from which
693 the application of protection and management measures can most effectively be placed (Heupel
694 et al. 2007; Heupel et al. 2015; Kinney and Simpfendorfer 2009). Information on the
695 osmoregulatory physiological preferences of species throughout their life history will help to
696 indirectly identify important environmental areas of particular non-marine systems, providing
697 broadly applicable data for regions and river systems, or cryptic/elusive species that are
698 logistically difficult to biologically survey. It is unrealistic that information in these fields will
699 become readily available for all the species listed in this review, however a concerted research

700 effort is needed on species facing higher levels of extinction risk and those assessed as Data
701 Deficient.

702

703 There is also a need for information on the importance of non-marine elasmobranchs to human
704 communities, the roles they play in livelihoods and food security, and the attitudes of human
705 interactions to these species. For example, in developing nations with primarily artisanal and
706 subsistence fisheries, non-marine environments may play an increased role in food security as
707 they are more easily accessible than inshore coastal waters (including access during periods
708 when offshore weather is poor) (Compagno and Cook 1995). Furthermore, large-bodied
709 elasmobranchs within them may be cost effective to fish, providing both a large food source
710 and body parts for subsequent sale (e.g. fins). Conversely, in some areas of South America,
711 potamotrygonid rays are viewed as an impediment to tourism and human safety, as they
712 aggregate in shallow waters that otherwise have intrinsic value for swimming and fishing
713 activities (Araújo et al. 2004). In other regions of South America, potamotrygonid rays are
714 targeted for their high value in ornamental markets (Moreau and Coomes 2007). Thus, key
715 questions for the effective application of conservation and management might include: i) is
716 there a reliance on elasmobranchs as a food or economic resource?; ii) what is the economic
717 value of elasmobranchs?; iii) what other food or economic resources may be available?; iv)
718 how are elasmobranchs perceived by local communities?; and, v) are there any cultural or
719 spiritual beliefs surrounding elasmobranchs?. This type of information will indicate how
720 supportive the public may be to conservation and management, their willingness to adopt
721 alternative livelihoods, and the potential for communities to participate in the management and
722 where necessary, the rebuilding of their populations. For species with restricted distributions
723 such as the freshwater obligates, and euryhaline or estuarine species with populations confined
724 to particular river systems, gauging local perception is vital to sustained conservation and
725 management (Hueter et al. 2004). Other concerns and considerations may include the value of
726 elasmobranchs in 'ecotourism' and whether they have value as a 'non-extractive' resource.

727 **Conclusion**

728 The present review has refined earlier categorisations of elasmobranchs known to occur
729 in non-marine environments. The categorisations presented here are useful to conservation and
730 management, as species and the environments they require throughout their life history can be
731 more easily understood. However, the conservation status of freshwater obligates, euryhaline
732 generalist, and estuarine generalist species raises concern. Euryhaline generalist and estuarine
733 generalist species have the highest extinction risk, presumably because movement between
734 environments throughout their life histories raises their susceptibility to anthropogenic
735 pressures. Meanwhile, for many freshwater obligate species there is insufficient data available
736 to assess extinction risk, and a concerted research effort on these species is needed. As human
737 populations continue to increase, greater pressure is being placed on elasmobranchs that require
738 use of non-marine environments. In order to develop strategic conservation and management
739 strategies, further information is required primarily on life history traits, population structure,
740 spatial ecology, and human interactions for these species.

741

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1204 **Figure captions**

1205 **Fig. 1** Distribution of freshwater obligates (FW), euryhaline generalists (EU), and estuarine
1206 generalists (ES) in each continental region. The total number of species in each category is
1207 shown and the number of those that are endemic to the region is shown in parentheses.
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1210 **Table 1** The salinity range of freshwater, estuarine, and marine environments. Adapted from
1211 McLusky (1993).
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Environment type	Salinity range (ppt)
Freshwater	0 – ≤5
Estuarine	>5 – ≤30
Marine	>30

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Table 2 Categories describing elasmobranch occurrence in non-marine environments and their criteria

Category	Criteria	Environment type(s) that life history stages occur in
Freshwater obligate	Complete the entirety of their life history in freshwater and carryout all of their reproductive and ecological functions in freshwater exclusively.	Freshwater
Euryhaline generalist	Encountered throughout a range of salinities (freshwater to marine); are physiologically capable of prolonged exposure to environments ranging from freshwater to marine; characteristically use freshwater and/or estuarine environments for a life stage, typically for parturition and/or nursery areas.	Freshwater, estuarine, and marine
Estuarine generalist	Commonly occur in environments ranging from estuarine to marine; are physiologically capable of penetrating into lower salinity waters of estuaries for prolonged periods, though cannot withstand prolonged exposure in freshwater; characteristically use estuarine environments for a life stage, typically as nursery areas.	Estuarine and marine
Non-marine transients	May occur in non-marine environments intermittently, though carry out all aspects of their life history in marine waters; not considered to be physiologically capable of prolonged exposure to estuarine or freshwater environments.	Marine
Non- marine vagrants	Have no identifiable biological association with non-marine environments throughout their life history; not expected to occur in a non-marine environment; not considered to be physiologically capable of prolonged exposure to estuarine or freshwater environments.	Marine

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1221 **Table 3** List of freshwater obligate species, their IUCN Red List of Threatened Species
 1222 category and distribution (continental regions defined in Figure 1). EN, Endangered; VU,
 1223 Vulnerable; NT, Near Threatened; LC, Least Concern; DD, Data Deficient; NE, Not Evaluated
 1224 (no species are listed as CR, Critically Endangered).

Species	Common name	IUCN Red List category	Distribution
Potamotrygonidae (36)	Neotropical stingrays		
<i>Heliotrygon gomesi</i>	Gomes' round ray	NE	South America
<i>Heliotrygon rosai</i>	Rosa's round ray	NE	South America
<i>Paratrygon aiereba</i>	Discus stingray	DD	South America
<i>Plesiotrygon iwamae</i>	Antenna ray	DD	South America
<i>Plesiotrygon nana</i>	Dwarf antenna ray	NE	South America
<i>Potamotrygon adamastor</i>	Adamastor's freshwater stingray	NE	South America
<i>Potamotrygon albimaculata</i>	Tapajós freshwater stingray	NE	South America
<i>Potamotrygon amandae</i>	Amanda's freshwater stingray	NE	South America
<i>Potamotrygon amazona</i>	Amazons freshwater stingray	NE	South America
<i>Potamotrygon boesemani</i>	Suriname freshwater stingray	NE	South America
<i>Potamotrygon brachyura</i>	Giant freshwater stingray	DD	South America
<i>Potamotrygon constellata</i>	Rough freshwater stingray	DD	South America
<i>Potamotrygon falkneri</i>	Paraná freshwater stingray	DD	South America
<i>Potamotrygon garmani</i>	Garman's freshwater stingray	NE	South America
<i>Potamotrygon henlei</i>	Henle's freshwater stingray	LC	South America
<i>Potamotrygon histrix</i>	Porcupine freshwater stingray	DD	South America
<i>Potamotrygon humerosa</i>	False reticulate freshwater stingray	NE	South America
<i>Potamotrygon jabuti</i>	Pearl freshwater stingray	NE	South America
<i>Potamotrygon leopoldi</i>	Xingu freshwater stingray	DD	South America
<i>Potamotrygon limai</i>	Madeira freshwater stingray	NE	South America
<i>Potamotrygon magdalenae</i>	Magdalena freshwater stingray	NT	South America
<i>Potamotrygon marinae</i>	French Guiana freshwater stingray	DD	South America
<i>Potamotrygon marquesi</i>	Marques's freshwater stingray	NE	South America
<i>Potamotrygon motoro</i>	Ocellate freshwater stingray	DD	South America
<i>Potamotrygon ocellata</i>	Marajó freshwater stingray	DD	South America
<i>Potamotrygon orbignyi</i>	Reticulate freshwater stingray	LC	South America
<i>Potamotrygon pantanensis</i>	Pantanal freshwater stingray	NE	South America
<i>Potamotrygon rex</i>	Great freshwater stingray	NE	South America
<i>Potamotrygon schroederi</i>	Schroeder's freshwater stingray	DD	South America
<i>Potamotrygon schuhmacheri</i>	Rosette freshwater stingray	DD	South America
<i>Potamotrygon scobina</i>	Whitespotted freshwater stingray	DD	South America
<i>Potamotrygon signata</i>	Parnaíba freshwater stingray	DD	South America
<i>Potamotrygon tatiana</i>	Tatiana's freshwater stingray	NE	South America
<i>Potamotrygon tigrina</i>	Tiger freshwater stingray	NE	South America
<i>Potamotrygon wallacei</i>	Wallace's freshwater stingray	NE	South America
<i>Potamotrygon yepezi</i>	Maracaibo freshwater stingray	DD	South America
Dasyatidae (7)	Stingrays		
<i>Fluviotrygon kittipongi</i>	Roughback whipray	EN	Southeast Asia
<i>Fluviotrygon oxyrhynchus</i>	Marbled whipray	EN	Southeast Asia
<i>Fluviotrygon signifer</i>	White-edge whipray	EN	Southeast Asia
<i>Fontitrygon garouaensis</i>	Smooth whipray	VU	West Africa

<i>Fontitrygon ukpam</i>	Thorny whipray	EN	West Africa
<i>Hemitrygon laosensis</i>	Mekong stingray	EN	Southeast Asia
<i>Makararaja chindwinensis</i>	Chindwin cowtail ray	DD	Southeast Asia

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1227 **Table 4** List of euryhaline generalist species, their IUCN Red List of Threatened Species
1228 category and distribution (continental regions defined in Figure 1). CR, Critically Endangered;
1229 EN, Endangered; NT, Near Threatened; LC, Least Concern; DD, Data Deficient; NE, Not
1230 Evaluated (no species are listed as VU, Vulnerable; or NE, Not Evaluated).

Species	Common name	IUCN Red List category	Distribution
Carcharhinidae (4)	Whaler sharks		
<i>Carcharhinus leucas</i>	Bull shark	NT	Global
<i>Glyphis gangeticus</i>	Ganges river shark	CR	South Asia, Southeast Asia
<i>Glyphis garricki</i>	Northern river shark	CR	Oceania
<i>Glyphis glyphis</i>	Speartooth shark	EN	Oceania
Pristidae (1)	Sawfishes		
<i>Pristis pristis</i>	Largetooth sawfish	CR	Global
Dasyatidae (5)	Stingrays		
<i>Hemirhynchus bennettii</i>	Bennett's stingray	DD	South Asia, Southeast Asia
<i>Hypanus guttatus</i>	Longnose stingray	DD	North and Central America, South America
<i>Hypanus sabinus</i>	Atlantic stingray	LC	North and Central America
<i>Urogymnus dalyensis</i>	Freshwater whipray	LC	Oceania
<i>Urogymnus polylepis</i>	Giant freshwater whipray	EN	South Asia, Southeast Asia

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1233 **Table 5** List of estuarine generalist species, their IUCN Red List of Threatened Species
 1234 category and distribution (continental regions defined in Figure 1). CR, Critically Endangered;
 1235 EN, Endangered; VU, Vulnerable; NT, Near Threatened; LC, Least Concern; DD, Data
 1236 Deficient; NE, Not Evaluated.

Species	Common name	IUCN Red List category	Distribution
Dasyatidae (12)	Stingrays		
<i>Fontitrygon colarensis</i>	Colares stingray	VU	South America
<i>Fontitrygon margarita</i>	Daisy whipray	EN	West Africa
<i>Fontitrygon margaritella</i>	Pearl whipray	DD	West Africa
<i>Hemitrygon fluviorum</i>	Estuary stingray	VU	Oceania
<i>Himantura australis</i>	Australian whipray	NE	Oceania
<i>Himantura uarnak</i>	Coach whipray	VU	East Africa, The Gulf, South Asia, Southeast Asia
<i>Hypanus say</i>	Bluntnose stingray	LC	North and Central America, South America
<i>Pastinachus ater</i>	Broad cowtail ray	LC	East Africa, The Gulf, Oceania, South Asia, Southeast Asia
<i>Pastinachus solocirostris</i>	Roughnose cowtail ray	EN	Southeast Asia
<i>Pateobatis hortlei</i>	Hortle's whipray	VU	Oceania
<i>Urogymnus acanthobothrium</i>	Mumburarr whipray	NE	Oceania
<i>Urogymnus lobistoma</i>	Tubemouth whipray	VU	Southeast Asia
Pristidae (4)	Sawfishes		
<i>Anoxypristis cuspidata</i>	Narrow sawfish	EN	The Gulf, Oceania, South Asia, Southeast Asia
<i>Pristis clavata</i>	Dwarf sawfish	EN	Oceania, South Asia, Southeast Asia
<i>Pristis pectinata</i>	Smalltooth sawfish	CR	North and Central America, South America, West Africa
<i>Pristis zijsron</i>	Green sawfish	CR	East Africa, The Gulf,

			Oceania, South Asia, Southeast Asia
Rajidae (1)	Hardnose skates		
<i>Zearaja maugeana</i>	Maugean skate	EN	Oceania
Rhinidae (1)	Wedgefishes		
<i>Rhynchobatus springeri</i>	Broadnose wedgefish	VU	Southeast Asia
Rhinopteridae (1)	Cownose rays		
<i>Rhinoptera bonasus</i>	Cownose ray	NT	North and Central America, South America

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1239 **Table 6** Number of species in each IUCN Red List of Threatened Species category (IUCN
1240 2018). CR, Critically Endangered; EN, Endangered; VU, Vulnerable; NT, Near Threatened;
1241 LC, Least Concern; DD, Data Deficient; NE, Not Evaluated. Threatened comprises CR, EN,
1242 and VU.

Category	Species	CR	EN	VU	NT	LC	DD	NE	Threatened
Freshwater Obligate	43	0	6	1	0	3	16	17	7 (16%)
Euryhaline Generalist	10	3	2	0	1	2	2	0	5 (50%)
Estuarine Generalist	19	2	5	6	1	2	1	2	13 (65%)
Total	72	5	13	7	2	7	19	19	25 (35%)

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